

DOCUMENT 00913

ADDENDUM NUMBER 3

Issued: March 25, 2016

Dry Creek Habitat Enhancement Phase II (Part 1)

FROM: Sonoma County Water Agency
404 Aviation Boulevard
Santa Rosa, CA 95403-9019

TO: Prospective Bidders

This Addendum forms a part of and modifies the Project Manual dated February 2016 and Addendum Number 1 dated March 15, 2016. Bidder shall acknowledge receipt of this Addendum in the space provided in Document 00400 (Bid Form).

Modified text is indicated as follows: Double-underline designates text to be inserted; ~~strike-through~~ designates text to be deleted.

Addendum Number 3 consists of 21 pages (size 8 1/2" x 11") and 0 revised Drawings.

I. General Changes

A. No changes.

II. Changes to Prior Addenda

A. Reissue Document 00450 (Statement of Qualifications for Construction Work) dated March 25, 2016

III. Changes to Introductory Information and Bidding Requirements

A. Document 00320 (Geotechnical Data and Existing Conditions)

1. Add the following after Paragraph 2.D,
Surface / Subsurface Sediment Sampling Results
Sonoma County, California
Inter-fluve, Inc.
July 2015

B. Document 00450 (Statement of Qualifications for Construction Work)

1. Modify LIST OF QUALIFYING PROJECTS - PRIME CONTRACTOR table as follows;
 - a. Delete Project 3 column.
 - b. Delete "Size of diversion (cfs)" row.

2. Modify LIST OF QUALIFYING PROJECTS – RIPARIAN RESTORATION CONTRACTOR as follows;
 - a. Delete Project 3 column.
 - b. Delete Riparian Restoration Contractor Recent Projects table

IV. Changes to Contracting Requirements

- A. No changes.

V. Changes to Conditions of the Contract

- A. No changes.

VI. Changes to Specifications

- A. No changes.

VII. Changes to Drawings

- A. Modify Drawing C-1 of 46 to add the following as General Notes 9:
 9. Contractor is responsible for coordination and retrofitting of overhead utility lines if the power lines are within 15’ vertical distance of new driveway apron
- B. Modify Drawing C-2 of 46 to add the following as Key Note 3:
 3. Grass mat netting: American Excelsior Erosion Control Curlex Blankets
<http://americanexcelsior.com/wp-content/uploads/2015/02/Curlex-Blankets-PD.pdf>,
 or Approved Equal.

VIII. Question(s)/Answer(s)

Owner's responses to Bidder questions shall be for the purposes of interpretation and clarification of the Contract Documents only, and shall not be construed as changing, superseding, or contradicting any express term in the Contract Documents. If any Bidder believes that a response to a question warrants a change in any term in the Contract Documents, the Bidder shall so request the change be made in writing addressed to Owner and received no later than the latest date for submitting Bidder questions. In the absence of a change in any term of the Contract Documents, the express terms of the Contract Documents shall have precedence. Bidder questions are listed below verbatim.

- A. When are these permits expected to be issued and their impact on specifications as it may cause a change of conditions?

Owner’s response: Bid per Bidding Documents.

- B. What are the applicable permits and requirements for discharge of water back to creek.

Owner’s response: This is in the Bidding Documents.

- C. Is the contractor required to sample the discharge to prove compliance or if the water is being “treated” per the approved water control plan, is that sufficient? For storm water

projects, RWQCB and others accept this “treatment by design” approach. SCWA- Owner and permit holder.

Owner’s response: Bid per Bidding Documents.

D. Are there a grain size data for the creek at various locations? Is there a sediment curve for the creek? What is the expected level of fines?

Owner’s response: Answered in this Addendum above.

E. Will the projects working HEC-RAS model [with flow inputs included] be provided for analysis of water control and diversion alternatives?

Owner’s response: Yes.

F. Will project design plans in AutoCAD Civil3D with surfaces be provided and associated DEM be provided?

Owner’s response: Yes.

G. Are there any out of channel locations for construction of sediment settling pond? If constructed, can it be unlined to allow percolation of water back into the system as it is very unlikely that gravity settling alone can achieve water quality goals for removal of sediment?

Owner’s response: Bid per Bidding Documents.

H. Other than settling fines, are what are the concerns for not allowing the Contractor to use completed excavation areas for dewatering discharge?

Owner’s response: Bid per Bidding Documents.

I. Regarding Agricultural Access Plan PG C1-C3

1. Could you please provide a material & installation specifications for the Grass Mat netting at the Agricultural Access ramp?

Owner’s response: Answered in this Addendum above.

2. Who is responsible for hiring the Geotechnical Engineer for testing of the subgrade, fill, and baserock for the agricultural access ramp?

Owner’s response: This is in the Bidding Documents.

3. Who is responsible for coordination and retrofitting of overhead utility lines if the power lines are within 15’ vertical distance of new driveway apron?

Owner’s response: Contractor.

J. Could SCWA provide a plan or detailed specifications for modification of existing vineyard infrastructure?

Owner's response: Contractor shall flag any irrigation lines that are cut. Modifications to existing vineyard infrastructure that are necessary due to the creation of the access routes and staging will be performed by others.

- K. Is it necessary to re-construct the vines and vineyard infrastructure prior to completion of the project?

Owner's response: No.

- L. Is it correct to say that only Area C and the Agricultural Access ramp/road can be constructed in the 2016 construction season?

Owner's response: No.

END OF DOCUMENT

DOCUMENT 00450

STATEMENT OF QUALIFICATIONS FOR CONSTRUCTION WORK

To draw Bidders' attention to attachments that may be required by this SOQ, Owner has included "☐ →" in the margin of this document

1. GENERAL INFORMATION

A. Minimum Bidder Qualifications:

1. Bidders must be duly licensed in accordance with the California Business & Professions Code and have a history of work performance sufficient to meet the requirements of a responsible bidder as defined in the California Public Contract Code Section 1103. Removal, handling, and/or disposal of hazardous materials may by law require hazardous substance removal certification by the Contractors' State License Board.
2. Bidders must have five years' experience as a continuously operating entity engaged in the performance of similar work.
3. Bidders must demonstrate successful experience with type of work of this Project, to include, within the past ~~five~~ seven years, completed ~~two~~ three projects of a similar nature and complexity with a contract dollar amount of at least \$~~1,500,000~~ 2,000,000 each. For the definition of "similar nature and complexity" see Attachment C, PART D: "EXPERIENCE OF PRIME CONTRACTOR" of this Document 00450.

B. Minimum requirements for Bidder and its team:

1. Evidence that Bidder and its team, including without limitation its Riparian Restoration Subcontractor(s), or the Bidder to the extent Bidder performs such Work itself (hereafter "designated Subcontractor(s)"), have the human and physical resources of sufficient quantity and quality to perform the Work under Contract Documents in a timely and Specification-compliant manner, to include:
 - a. Evidence demonstrating Bidder's commitment to project safety and its ability to complete projects in a safe manner.
 - b. Construction and management organizations with sufficient personnel and requisite disciplines, licenses, skills, experience, and equipment for the Project.
 - c. Minimum licensing requirements including evidence of requisite licenses for Key Personnel of Bidder or any designated Subcontractor.
 - d. A field organization with skills, experience, and equipment sufficient to perform all on-Site Work and necessary scheduling.
2. Minimum requirements for Key Personnel:
 - a. Expertise of Key Personnel to accomplish the duties and responsibilities required to perform the Work under Contract Documents. Minimum experience requirements of each Key Personnel include the completion of three projects of similar nature and complexity and three years of experience on projects of similar nature and complexity.
3. Minimum requirements for Riparian Restoration Subcontractor:
 - a. Riparian Restoration Subcontractor must demonstrate successful experience with type of work of this Project, including completion of ~~three~~ two projects of similar nature and complexity within the past ~~five~~ seven years.

2. REQUIRED CONTENTS OF SOQ SUBMISSION

- A. Financial Capacity. Owner reserves the right to require submission of audited or reviewed financial statements for the three most recently completed fiscal years for Bidder and each member of any proposed consortium or joint venture, prior to issuance of the Notice of Award. If required, Bidder must also include audited or reviewed financial statements for the three most recently completed fiscal years for any parent company(ies) of Bidder and each member of any proposed consortium or joint venture. Bidder shall fully disclose the nature and extent of any material changes in Bidder's financial condition since the date of its most recent audited or reviewed financial statement(s).
- B. Capability to Provide Required Performance and Payment Bonds.
- 1. Include a completed "Letter of Assurance Regarding Performance and Payment Bonds" from Bidder's Surety in the form attached to this Document 00450 as Attachment "A;"
- 2. Include a completed "Authorization to Contact Surety" from Bidder in the form attached to this Document 00450 as Attachment "B."
- C. Human and Physical Resources. Identify, describe, and quantify for Bidder and separately for Bidder's "designated Subcontractor(s)," if any, the following technical resources for the Work:
- 1. Description and location of manufacturing facilities, naming products and quantifying production capacity and current demand;
- 2. Description of field organization(s), naming skills and equipment;
- 3. Description of safety program, quality control procedures, and safety experience; and
- 4. Evidence of a valid California contractor's license and required licenses of all persons who are Key Personnel of the Bidder or any designated Subcontractor.
- D. Completed Questionnaire. Include a completed "Statement of Qualifications Questionnaire" in the form attached to this Document 00450 as Attachment "C." Add supplementary information if necessary.
- E. Résumés of Proposed Key Personnel. Include a resume for each named Key Personnel of Bidder, and Bidder's (including but not limited to the superintendent) designated Subcontractor(s), to include the following:
- 1. Name and proposed assignment of Key Personnel - do not include home addresses or phone numbers;
- 2. Years of experience;
- 3. Education - degrees, schools, and years obtained;
- 4. Professional registration(s);
- 5. Fluency in English (Yes/No);
- 6. Experience directly related to above proposed assignment;
- 7. At least three client references, including contact names, addresses, and telephone numbers; and
- 8. Description of experience requirements as required above.
- F. Litigation History. Bidder shall submit a description of all claims and litigation matters, whether pending or resolved, brought by or against Bidder in the last five (5) years, which description shall include, for each such matter, the names of involved parties, the nature of dispute, and its disposition, including the dollar amount of any judgment or settlement.

3. GENERAL CONDITIONS

- A. General Conditions for Content. The SOQ shall be clear and concise to enable Owner to make a thorough evaluation and arrive at a sound determination as to whether the SOQ

meets Owner's requirements. To this end, the SOQ shall be so specific, detailed, and complete as to demonstrate clearly and fully that the Bidder has a thorough understanding of and has demonstrated knowledge of the requirements to perform the Work (or applicable portion thereof).

- B. Explanations to SOQ. Any explanation requested by a Bidder regarding the meaning or interpretation of this Document 00450 shall be requested in writing in accordance with Document 00200 (Instructions to Bidders). Oral explanations or instructions will not be binding. Any information provided to any prospective Bidder concerning this Document 00450 will be furnished to all prospective Bidders as an Addendum to the Bidding Documents.

4. DEFINITIONS

- A. Except as set forth herein, all abbreviations and definitions of terms used in this Document 00450 are as set forth in Document 00700 (General Conditions) or Section 01420 (References and Definitions).

ATTACHMENT A, LETTER OF ASSURANCE REGARDING PERFORMANCE AND PAYMENT BONDS; ATTACHMENT B AUTHORIZATION TO CONTACT SURETY FORM; AND ATTACHMENT C, STATEMENT OF QUALIFICATIONS QUESTIONNAIRE ARE ON FOLLOWING PAGES

ATTACHMENT "A" -- Letter of Assurance Regarding Performance and Payment Bonds

To Sonoma County Water Agency:

This letter is written by _____ [insert name of surety], a surety duly licensed to do business in the State of California, having a financial rating from A. M. Best Company of A or better. The purpose of this letter is to advise the Sonoma County Water Agency that this surety has agreed to provide _____ [insert name of Bidder] with the required performance and payment bonds in accordance with the requirements set forth in Documents 00611 (Construction Performance Bond) and 00612 (Construction Labor and Material Payment Bond) for the Dry Creek Habitat Enhancement Phase II (Part 1), if the Bid submitted by said Bidder is accepted and the Contract is awarded to said Bidder. These performance and payment bonds shall be in the minimum penal sums provided therein.

Signature of Surety's Authorized Representative

Name and Title

Date

ATTACHMENT "B" -- Authorization to Contact Surety

The Sonoma County Water Agency is hereby authorized to verify with the Surety identified in Attachment "A" that the Surety will, based upon Bid prices, issue the required bonds under the conditions stated in Attachment "A."

Signature of Bidder's Authorized Representative

Name and Title

Date

ATTACHMENT "C" -- Statement of Qualifications Questionnaire

Bidders shall complete the entire Statement of Qualification Questionnaire and submit it in accordance with Document 00200 (Instructions to Bidders) and this Document 00450. Failure to complete the questionnaire or inclusion of any false statement(s) shall be grounds for immediate disqualification. Label attachments with a reference to the related document, part, and question number.

BIDDER'S CONTACT INFORMATION

Company Name: _____

Owner of Company: _____

Contact Person: _____

Address: _____

Phone: _____ Fax: _____

PART A: GENERAL INFORMATION

Complete Part A before proceeding to Part B.

- 1. Does Bidder possess a valid and current California Contractor’s license for the Work proposed? Yes _____ No _____
- 2. Does Bidder have the minimum general liability insurance coverage as required by Document 00800 (Supplementary Conditions)? Yes _____ No _____

Bidder will be immediately disqualified if any answer to questions 1 or 2 above is No.

- 3. Has Bidder’s license been suspended or revoked at any time in the last five years? Yes _____ No _____
- 4. Has Bidder been “default terminated” by an owner (other than for convenience), or has a Surety completed a contract for Bidder within the last five years? Yes _____ No _____
- 5. Labor Commissioner assessments or determination of ineligibility to bid:
 - a. Has Bidder had a final civil wage and penalty assessment against it from the Labor Commissioner more than twice in the last five years? Yes _____ No _____
 - b. Has Bidder been determined by the Labor Commissioner to be ineligible to bid on public contracts more than twice in the last five years? Yes _____ No _____

Bidder will be immediately disqualified if any answer to questions 3, 4, 5.a, or 5.b above is Yes.

PART B: SAFETY, PREVAILING WAGE, DISPUTES, AND BONDS

(SAFETY)

1. Do you have any Cal/OSHA Citations or Penalties: Yes_____ No_____

→

If yes, please submit the following information to determine if Bidder meets the suggested safety criteria for this Project. Describe any Cal/OSHA citations and penalties that Bidder has received in the last three years. For each occurrence state whether the action by Cal/OSHA resulted in a citation, or a penalty, or both. Describe the activities that led to the action by Cal/OSHA. Provide dates, citation numbers, penalty amounts, and any other relevant information regarding the action by Cal/OSHA. Responses shall be machine written or neatly printed on company letterhead and signed by an officer of the company.

→

Cal/OSHA 300A log for 2015

2. Experience Modification Rates:

What were Bidder’s Interstate Experience Modification Rates as established by the Workers’ Compensation Insurance Rating Bureau for the following three years?

2013: _____

2014: _____

2015: _____

Average of last three years: _____ (no rounding)

→

If Bidder was not eligible to obtain a formal rating from the Workers’ Compensation Insurance Rating Bureau for any of the years listed above, Bidder shall submit written documentation from its workers’ compensation insurance company that establishes what Bidder’s equivalent experience modification rate would be.

→

If average of last three years is greater than 1.1, Bidder shall provide an explanation here or as a separate attachment:

3. Total Recordable Incident Rates (RIR):

What were Bidder’s Total Recordable Incident Rates (RIR) for each of the last three complete years?

2013: _____

2014: _____

2015: _____

Average of last three years: _____ (no rounding)

4. Total Lost Time Incident Rates (LTIR):
What were Bidder's Total Lost Time Incident Rates (LTIR) for each of the last three complete years?

2013: _____

2014: _____

2015: _____

Average of last three years: _____ (no rounding)

5. State the name of Bidder's safety engineer/ manager or Site Safety Officer: _____

→ Attach a résumé or outline of this individual's safety and health qualifications and experience.

(PREVAILING WAGE PROVISIONS)

6. In the past five years, has Bidder been fined, penalized or otherwise found to have violated any prevailing wage or labor code provision? If yes, attach description of each occurrence.

→ Yes _____ No _____

(LICENSE PROVISIONS)

7. In the past five years, has Bidder changed names or license numbers? If so, please state reason for change and list previous name(s) and any previous license number for any of Bidder's principals.

Yes _____ No _____ Reason: _____

Previous name(s) and license number(s): _____

(DISPUTES)

8. In the past five years, has Bidder had any claims, litigation (pending or resolved), or disputes resulting in mediation or arbitration, or termination for cause associated with any project?

Yes _____ No _____

→ If yes, attach description of each such instance including details of total claim amount, judgment amount, or settlement amount, and the adverse party's (or parties') name(s) and phone number(s).

(BONDING)

9. Bonding Capacity - Provide documentation from Bidder's surety identifying the following:

Name of bonding company/surety: _____

Name of Surety Agent: _____

Surety Agent address: _____

Surety Agent phone number: _____

Is surety a California-admitted surety? Yes _____ No _____

Is surety listed in the current edition of the California Department of the Treasury's Listing of approved sureties? Yes _____ No _____

List surety's A.M. Best Rating: _____

What is Bidder's total bonding capacity? _____

What percentage rate does Bidder pay for bonds? _____

PART C: FINANCIAL INFORMATION

- 1. Has Bidder ever reorganized under the protection of the bankruptcy laws?
Yes ___ No ___ If yes, please state when _____

- 2. If Bidder has had the general liability carrier identified in Document 00420 (Bidder Registration Form) for less than five years, please provide additional information below for balance of the past five years.
Agency Name: _____
Contact Name: _____
Phone Number: _____
Carrier: _____ Dates: _____ A.M. Best Rating: _____
Carrier: _____ Dates: _____ A.M. Best Rating: _____
Carrier: _____ Dates: _____ A.M. Best Rating: _____

- 3. Has Bidder ever had insurance terminated by a carrier? Yes ___ No ___
If yes, explain on separate signed sheet marked with correlating cross-reference to this paragraph of the questionnaire.

- 4. Does Bidder have any outstanding or unsatisfied judgments? Yes ___ No ___
If yes, attach for each such judgment an explanation of the steps Bidder has taken to ensure the judgment won't interfere with Bidder's performance of the Work.

□ →

PART D: EXPERIENCE OF PRIME CONTRACTOR

The unique nature of this Project requires prior similar experience of the firm and the Key Personnel assigned. Summarize similar project experience below and provide the detailed project information requested:

Years of Experience. List years of experience as a continuously operating entity engaged in the performance of similar work (five years required, minimum): _____

Key Personnel. List Key Personnel who will be assigned to the Work:

Project Manager: _____

Project Superintendent: _____

Project Scheduler: _____

Qualifying Projects. List ~~two~~^{three} construction projects of a similar nature and complexity, completed within the past ~~seven~~^{five} years, with a contract dollar amount of at least ~~\$1,500,000~~^{\$2,000,000} each.

For a project to be considered of similar nature and complexity for this subparagraph, it must include:

1. Grading of at least 10,000 cubic yards
2. Control of water during construction (and specifically measures intended to be used for this Project), including:
 - ~~a. Diverting flows of 50 cfs or greater around work areas using bypass pipelines and cofferdams~~
 - b.a. Isolation of work areas using sheet piling, cofferdams or other barriers
 - e.b. Local dewatering of saturated conditions.

Names and references must be current and verifiable. If a separate sheet is used, it must contain all of the information shown on the table that follows:

LIST OF QUALIFYING PROJECTS - PRIME CONTRACTOR

	Project 1	Project 2
Project Name		
Location		
Client		
Client Contact (name and phone number)		
Architect/Engineer		

Architect/Engineer Contact (name and phone number)		
Construction Manager, Project Manager, or Superintendent (name and phone number)		
Description of Project, Scope of Work Performed		
Total cubic yards graded		
Size of diversion (cfs)		
Isolation of work measures used		
Local dewatering measures used		
Total construction cost		
Completion date		

Recent Projects.

Provide information about three most recently completed projects. Names and references must be current and verifiable. If a separate sheet is used, it must contain all of the following information:

	Recent Project 1	Recent Project 2	Recent Project 3
Project Name			
Location			
Client			
Client Contact (name and phone number)			
Architect/Engineer			
Architect/Engineer Contact (name and phone number)			
Construction Manager, Project Manager, or Superintendent (name and phone number)			
Description of Project, Scope of Work Performed			
Total Construction Cost			
Completion Date			
Total Change Order Amount			
Original Scheduled Date of Completion			
Time Extensions Granted (number of Days)			
Actual Date of Completion			
Number of Stop Notices filed by subcontractors or suppliers			

PART E: EXPERIENCE OF DESIGNATED SUBCONTRACTOR(S)

The unique nature of this Project requires prior similar project experience of the designated Subcontractor(s), including the Bidder to the extent Bidder performs such Work itself, and the Key Personnel assigned. Summarize similar project experience below and provide the detailed project information requested:

Riparian Restoration Subcontractor

Key Personnel. List Key Personnel that will be assigned to the Work:

Project Manager: _____

Project Superintendent: _____

Qualifying Projects. List ~~three~~two projects of a similar nature and complexity completed within the past ~~five~~seven years. For a project to be considered of similar nature and complexity for this subparagraph, it must include:

1. Construction of at least 500 linear feet of stream channel habitat using typical aquatic habitat restoration techniques incorporating irregular natural materials such as boulders, alluvial streambed materials, logs, live cuttings and stakes, and biodegradable fabrics to conform with the channel design intent.
2. Specific construction and restoration techniques including:
 - (a) Precision handling and placement of large logs and boulders to construct habitat and channel stabilization structures
 - (b) Installing biotechnical slope stabilization measures such as soil lifts, crib walls, vegetated rip-rap and erosion control fabrics

If a separate sheet is used, it shall contain all of the information shown on the table that follows:

LIST OF QUALIFYING PROJECTS - RIPARIAN RESTORATION SUBCONTRACTOR

	Project 1	Project 2
Project Name		
Location		
Client		
Client Contact (name and phone number)		
Architect/Engineer		
Architect/Engineer Contact (name and phone number)		

Construction Manager, Project Manager, or Superintendent (name and phone number)		
Description of Project, Scope of Work Performed		
Linear feet of channel habitat construction using natural materials, describe		
Placement of large logs and boulders to construct habitat and stabilization structures, describe		
Biotechnical slope stabilization measures, describe		
Completion Date		

Recent Projects.

Provide information about three most recently completed projects. Names and references must be current and verifiable. If a separate sheet is used, it must contain all of the following information:

	Recent Project 1	Recent Project 2	Recent Project 3
Project Name			
Location			
Client			
Client Contact (name and phone number)			
Architect/Engineer			

	Recent Project 1	Recent Project 2	Recent Project 3
Architect/Engineer Contact (name and phone number)			
Construction Manager, Project Manager, or Superintendent (name and phone number)			
Description of Project, Scope of Work Performed			
Total Construction Cost			
Completion Date			
Total Change Order Amount			
Original Scheduled Date of Completion			
Time Extensions Granted (number of Days)			
Actual Date of Completion			
Number of Stop Notices filed by subcontractors or suppliers			

Bidder certifies, under penalty of perjury, that the foregoing information is current and accurate and authorizes the Sonoma County Water Agency, and its agents and representatives to obtain a credit report and/or verify any of the above information.

Signature

Title

END OF DOCUMENT