

DOCUMENT 00911

ADDENDUM NUMBER 1

Issued: October 16, 2012

Airport-Larkfield-Wikiup Sanitation Zone Treatment Plant Emergency Gas Scrubber System

FROM: Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403-9019

TO: Prospective Bidders

This Addendum forms a part of and modifies the Project Manual dated September 2012. Bidder shall acknowledge receipt of this Addendum in the space provided in Document 00400 (Bid Form).

Modified text is indicated as follows: Double-underline designates text to be inserted; ~~strikethrough~~ designates text to be deleted.

Addendum Number 1 consists of 16 pages (size 8 1/2" x 11") and 0 revised Drawings.

I. General Changes

A. No changes.

II. Changes to Prior Addenda

A. No changes.

III. Changes to Introductory Information and Bidding Requirements

A. Document 00200 (Instructions to Bidders)

1. Modify Paragraph 3.A.3)a., as follows:

a. Five years experience as a continuously operating entity with experience installing chlorine scrubbing systems or, alternatively, commercial heating, ventilation, and air conditioning (HVAC) equipment.

2. Modify Paragraph 3.A.3)b., as follows:

b. Within the past five years completed three construction projects as described in 3.3)a. with a contract dollar amount of at least \$150,000 each, which included installing chlorine scrubbing systems or, alternatively, commercial heating, ventilation, and air conditioning (HVAC) equipment as a component of each project.

B. Document 00450 (Statement of Qualifications)

1. Bidder shall use the revised Document 00450 (Statement of Qualifications) attached, marked "REVISED 10/16/2012" in its Bid.

IV. Changes to Contracting Requirements

A. No changes.

V. Changes to Conditions of the Contract

A. No changes.

VI. Changes to Specifications

A. No changes.

VII. Changes to Drawings

A. No changes.

VIII. Question(s)/Answer(s)

No questions received that require a response from Owner as of issue date of this Addendum Number 1.

END OF DOCUMENT

DOCUMENT 00450

STATEMENT OF QUALIFICATIONS FOR CONSTRUCTION WORK

REVISED 10/16/2012

STATEMENT OF QUALIFICATIONS CHECKLIST

This checklist is for Bidder's convenience and lists some of the completed documents Bidder shall ensure are submitted with Bidder's Statement of Qualifications.

- Letter of Assurance Regarding Performance and Payment Bonds (Document 00450, Attachment "A"). Modification of this form may result in rejection of the bid as non-responsive.
- Authorization to Contact Surety Form (Document 00450, Attachment "B")
- Statement of Qualifications Questionnaire (Document 00450, Attachment "C")
- Technical Resources identification and description
- Résumés of Key Personnel
- Cal/OSHA 300A log for 2011

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1. REQUIRED CONTENTS OF SOQ SUBMISSION

- A. Financial Capacity. Owner reserves the right to require submission of audited or reviewed financial statements for the three most recently completed fiscal years for Bidder and each member of any proposed consortium or joint venture, prior to issuance of the Notice of Award. Also include audited or reviewed financial statements for the three most recently completed fiscal years for any parent company(ies) of Bidder and each member of any proposed consortium or joint venture.
- B. Capability to Provide Required Performance and Payment Bonds.
1. Include a completed "Letter of Assurance Regarding Performance and Payment Bonds" from Bidder's Surety in the form attached to this Document 00450 as Attachment "A";
 2. Include a completed "Authorization to Contact Surety" from Bidder in the form attached to this Document 00450 as Attachment "B."
- C. Human and Physical Resources. Identify, describe, and quantify for Bidder, the following technical resources for the Work:
1. For products or materials listed in the "Major Products List" (see Section 01330 [Submittal Procedures]), provide description and location of manufacturing facilities, naming products and quantifying production capacity and current demand;
 2. Description of field organization(s), naming skills and equipment;
 3. Description of safety program, quality control procedures, and safety experience; and
 4. Evidence of a valid California contractor's license and required licenses of all persons who are Key Personnel of the Bidder or any designated Subcontractor.
- D. Completed Questionnaire. Include a completed "Statement of Qualifications Questionnaire" in the form attached to this Document 00450 as Attachment "C." Add supplementary information if necessary.
- E. Résumés of Proposed Key Personnel. Include a resume for each named Key Personnel of Bidder, and Bidder's (including but not limited to the superintendent) designated Subcontractor(s), to include the following:
1. Name and proposed assignment of Key Personnel - do not include home addresses or phone numbers;
 2. Years of experience;
 3. Education - degrees, schools, and years obtained;
 4. Professional registration(s);
 5. Fluency in English (Yes/No);
 6. Experience directly related to above proposed assignment;
 7. At least three client references, including contact names, addresses, and telephone numbers; and
 8. Description of experience requirements as required by Document 00200 (Instructions to Bidders).
- F. Litigation History. Owner reserves the right to require submission of a description of litigation history for the past five years, including names of involved parties, nature of dispute, and disposition, prior to issuance of the Notice of Award.

2. GENERAL CONDITIONS

- A. General Conditions for Content. The SOQ shall be clear and concise to enable management-oriented personnel to make a thorough evaluation and arrive at a sound determination as to whether the SOQ meets Owner's requirements. To this end, the SOQ shall be so specific,

detailed, and complete as to demonstrate clearly and fully that the Bidder has a thorough understanding of and has demonstrated knowledge of the requirements to perform the Work (or applicable portion thereof).

- B. Explanations to SOQ. Any explanation requested by a Bidder regarding the meaning or interpretation of this Document 00450 shall be requested in writing in accordance with Document 00200 (Instructions to Bidders). Oral explanations or instructions will not be binding. Any information provided to any prospective Bidder concerning this Document 00450 will be furnished to all prospective Bidders as an Addendum to the Bidding Documents.

3. DEFINITIONS

- A. Except as set forth herein, all abbreviations and definitions of terms used in this Document 00450 are as set forth in Document 00700 (General Conditions) or Section 01420 (References and Definitions).

LETTER OF ASSURANCE REGARDING PERFORMANCE AND PAYMENT BONDS,
AUTHORIZATION TO CONTACT SURETY FORM, AND STATEMENT OF QUALIFICATION
QUESTIONNAIRE ARE ON FOLLOWING PAGES

ATTACHMENT "A" -- Letter of Assurance Regarding Performance and Payment Bonds

To Sonoma County Water Agency:

This letter is written by _____ [insert name of surety], a surety duly licensed to do business in the State of California, having a financial rating from A. M. Best Company of A or better. The purpose of this letter is to advise the Sonoma County Water Agency that this surety has agreed to provide _____ [insert name of Bidder] with the required performance and payment bonds in accordance with the requirements set forth in Documents 00611 (Construction Performance Bond) and 00612 (Construction Labor and Material Payment Bond) for the Airport-Larkfield-Wikiup Sanitation Zone Treatment Plant Emergency Gas Scrubber System, if the Bid submitted by said Bidder is accepted and the Contract is awarded to said Bidder. These performance and payment bonds shall be in the minimum penal sums provided therein.

Signature of Surety's Authorized Representative

Name and Title

Date

ATTACHMENT "B" -- Authorization to Contact Surety

The Sonoma County Water Agency is hereby authorized to verify with the Surety identified in Attachment "A" that the Surety will, based upon Bid prices, issue the required bonds under the conditions stated in Attachment "A."

Signature of Bidder's Authorized Representative

Name and Title

Date

ATTACHMENT "C" -- Statement of Qualifications Questionnaire

Bidders shall complete the entire Statement of Qualification Questionnaire and submit it in accordance with Document 00200 (Instructions to Bidders) and this Document 00450. Failure to complete the questionnaire or inclusion of any false statement(s) shall be grounds for immediate disqualification. Label attachments with a reference to the related document, part, and question number.

BIDDER'S CONTACT INFORMATION

Company Name: _____

Owner of Company: _____

Contact Person: _____

Address: _____

Phone: _____ Fax: _____

PART A: GENERAL INFORMATION

Complete Part A before proceeding to Part B.

- 1. Does Bidder possess a valid and current California Contractor’s license for the Work proposed? Yes _____ No _____
- 2. Does Bidder have a minimum general liability insurance coverage as required by Document 00800 (Supplementary Conditions)? Yes _____ No _____

Bidder will be immediately disqualified if any answer to questions 1 or 2 above is No.

- 3. Has Bidder’s license been suspended or revoked at any time in the last five years? Yes _____ No _____
- 4. Has Bidder been “default terminated” by an owner (other than for convenience), or has a Surety completed a contract for Bidder within the last five years? Yes _____ No _____
- 5. Labor Commissioner assessments or determination of ineligibility to bid:
 - a. Has Bidder had a final civil wage and penalty assessment against it from the Labor Commissioner more than twice in the last five years? Yes _____ No _____
 - b. Has Bidder been determined by the Labor Commissioner to be ineligible to bid on public contracts more than twice in the last five years? Yes _____ No _____

Bidder will be immediately disqualified if any answer to questions 3, 4, 5.a, or 5.b above is Yes.

PART B: SAFETY, PREVAILING WAGE, DISPUTES, AND BONDS

(SAFETY)

1. Cal/OSHA Citations and Penalties: Yes _____ No _____
 If yes, please submit the following information to determine if Bidder meets the suggested safety criteria for this Project. Describe any Cal/OSHA citations and penalties that Bidder has received in the last three years. For each occurrence state whether the action by Cal/OSHA resulted in a citation, or a penalty, or both. Describe the activities that led to the action by Cal/OSHA. Provide dates, citation numbers, penalty amounts, and any other relevant information regarding the action by Cal/OSHA. Responses shall be machine written or neatly printed on company letterhead and signed by an officer of the company.

Separate sheet attached.

2. Experience Modification Rates:
 What were Bidder’s Interstate Experience Modification Rates as established by the Workers’ Compensation Insurance Rating Bureau for the following three years?

2009: _____
 2010: _____
 2011: _____

Average of last three years: _____ (no rounding)

If Bidder was not eligible to obtain a formal rating from the Workers’ Compensation Insurance Rating Bureau for any of the years listed above, Bidder shall submit written documentation from its workers’ compensation insurance company that establishes what Bidder’s equivalent experience modification rate would be.

If average of last three years is greater than 1.1, Bidder shall provide an explanation here or as a separate attachment:

3. Total Recordable Incident Rates (RIR):
 What were Bidder’s Total Recordable Incident Rates (RIR) for each of the last three complete years?

2009: _____
 2010: _____
 2011: _____

Average of last three years: _____ (no rounding)

- 4. Total Lost Time Incident Rates (LTIR):
What were Bidder's Total Lost Time Incident Rates (LTIR) for each of the last three complete years?

2009: _____

2010: _____

2011: _____

Average of last three years: _____ (no rounding)

- 5. State the name of Bidder's safety engineer/ manager or Site Safety Officer: _____
Attach a résumé or outline of this individual's safety and health qualifications and experience.

(PREVAILING WAGE PROVISIONS)

- 6. In the past five years, has Bidder been fined, penalized or otherwise found to have violated any prevailing wage or labor code provision? If yes, attach description of each occurrence.
Yes _____ No _____

(LICENSE PROVISIONS)

- 7. In the past five years, has Bidder changed names or license numbers? If so, please state reason for change and list previous name(s) and any previous license number for any of Bidder's principals.

Yes _____ No _____ Reason: _____

Previous name(s) and license number(s): _____

(DISPUTES)

- 8. In the past five years, has Bidder had any claims, litigation, or disputes resulting in mediation or arbitration, or termination for cause associated with any project? Yes _____ No _____
If yes, attach description of each such instance including details of total claim amount, settlement amount, and owner's name and phone number.

(BONDING)

- 9. Bonding Capacity - Provide documentation from Bidder's surety identifying the following:

Name of bonding company/surety: _____

Name of Surety Agent: _____

Surety Agent address: _____

Surety Agent phone number: _____

Is surety a California-admitted surety? Yes _____ No _____

Is surety listed in the current edition of the California Department of the Treasury's Listing of approved sureties? Yes _____ No _____

List surety's A.M. Best Rating: _____

What is Bidder's total bonding capacity? _____

What percentage rate does Bidder pay for bonds? _____

PART C: FINANCIAL INFORMATION

1. Has Bidder ever reorganized under the protection of the bankruptcy laws?
 Yes ___ No ___ If yes, please state when _____

2. If Bidder has had the general liability carrier identified in Document 00420 (Bidder Registration Form) for less than five years, please provide additional information below for balance of the past five years.
 Agency Name: _____
 Contact Name: _____
 Phone Number: _____
 Carrier: _____ Dates: _____ A.M. Best Rating: _____
 Carrier: _____ Dates: _____ A.M. Best Rating: _____
 Carrier: _____ Dates: _____ A.M. Best Rating: _____

3. Has Bidder ever had insurance terminated by a carrier? Yes ___ No ___
 If yes, explain on separate signed sheet marked with correlating cross-reference to this paragraph of the questionnaire.

PART D: EXPERIENCE OF PRIME CONTRACTOR

The unique nature of this Project requires prior similar experience of the firm and the Key Personnel assigned. Summarize similar project experience below and provide the detailed project information requested:

Years of Experience. List years of experience as a continuously operating entity. (Five years required, minimum): _____

Key Personnel. List Key Personnel who will be assigned to the Work:

Project Manager: _____

Project Superintendent: _____

Project Scheduler: _____

Qualifying Projects. List three construction projects that included installing chlorine scrubbing systems or, alternatively, commercial heating, ventilation, and air conditioning (HVAC) equipment as a component of each project, completed within the past five years, with a contract dollar amount of at least \$150,000 each. Names and references must be current and verifiable. If a separate sheet is used, it must contain all of the information shown on the table that follows:

LIST OF QUALIFYING PROJECTS - PRIME CONTRACTOR

	Project 1	Project 2
Project Name		
Location		
Client		
Client Contact (name and phone number)		
Architect/Engineer		
Architect/Engineer Contact (name and phone number)		
Construction Manager, Project Manager, or Superintendent (name and phone number)		
Description of Project, Scope of Work Performed		
Total Construction Cost		
Work Completion Date		

Bidder certifies, under penalty of perjury, that the foregoing information is current and accurate and authorizes the Sonoma County Water Agency, and its agents and representatives to obtain a credit report and/or verify any of the above information.

Signature

Title

END OF DOCUMENT