

**FY 2016-17 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Fund: WARM SPRINGS DAM**  
**Fund/Department ID: 14135-33030100**

| Sub-Object No. and Title                                      | Adopted<br>2015-16 | Requested<br>2016-17 | Difference         | Percent<br>Change |
|---|--------------------|----------------------|--------------------|-------------------|
| <b>REVENUES:</b>  |                    |                      |                    |                   |
| <b><u>TAXES</u></b>   |                    |                      |                    |                   |
| 40002 (1000) Prop Taxes - CY Secured                          | \$5,721,561        | \$5,894,352          | \$172,791          | 3.02%             |
| 40005 (1008) RDA Increment                                    | (\$539,638)        | (555,935)            | (16,297)           | 3.02%             |
| 40006 (1014) AB1290 RDA Pass Througl                          | \$44,731           | 46,082               | 1,351              | 3.02%             |
| 40010 (1017) Residual Prop-Tax RPTTE                          | \$123,541          | 127,272              | 3,731              | 3.02%             |
| 42624 (1018) RDA Asset Distribut                              | \$10,705           | 0                    | (10,705)           | (100.00%)         |
| 40111 (1020) Prop Taxes - CY Suppleme                         | \$88,992           | 91,680               | 2,688              | 3.02%             |
| 40101 (1040) Prop Taxes - CY Unsecure                         | \$161,874          | 166,762              | 4,888              | 3.02%             |
| <b>Subtotal Taxes</b>   | <b>\$5,611,766</b> | <b>\$5,770,213</b>   | <b>\$158,447</b>   | <b>2.82%</b>      |
| <b><u>USE OF MONEY</u></b>                                    |                    |                      |                    |                   |
| 44002 (1700) Interest on Pooled Cash                          | \$84,500           | \$84,000             | (\$500)            | (0.59%)           |
| <b>Subtotal Use of Money</b>                                  | <b>\$84,500</b>    | <b>\$84,000</b>      | <b>(\$500)</b>     | <b>(0.59%)</b>    |
| <b><u>INTERGOVERNMENTAL REVENUE</u></b>                       |                    |                      |                    |                   |
| 42291 (2440) State Homeowners Prop Ta                         | \$41,027           | \$42,258             | \$1,231            | 3.00%             |
| 42461 Federal Other Funding                                   | 796,343            | 400,000              | (396,343)          | (49.77%)          |
| <b>Subtotal Intergovernmental Revenues</b>                    | <b>\$837,370</b>   | <b>\$442,258</b>     | <b>(\$395,112)</b> | <b>(47.18%)</b>   |
| <b>TOTAL REVENUES</b>   | <b>\$6,533,636</b> | <b>\$6,296,471</b>   | <b>(\$237,165)</b> | <b>(3.63%)</b>    |
| <b>EXPENDITURES:</b>  |                    |                      |                    |                   |
| <b><u>SERVICES AND SUPPLIES</u></b>                           |                    |                      |                    |                   |
| 51061 (6140) Maintenance - Equipment                          | 3,882,900          | 11,000,169           | 7,117,269          | 183.30%           |
| 51211 (6610) Legal Services                                   | 8,500              | 9,500                | 1,000              | 11.76%            |
| 51226 (6570) Consulting Services                              | 759,500            | 0                    | (759,500)          | (100.00%)         |
| 51241 (6430) Outside Printing & Binding                       | 5,000              | 4,000                | (1,000)            | (20.00%)          |
| 51242 (6635) Bank Charges                                     | 55,208             | 52,508               | (2,700)            | (4.89%)           |
| 51244 (6589) Permits/License/Fees                             | 8,000              | 8,000                | 0                  | 0.00%             |
| 51401 (6820) Rents& Leases-Equip                              | 0                  | 30,000               | 30,000             | N/A               |
| 51602 (7302) Business Travel/Mileage                          | 6,000              | 6,500                | 500                | 8.33%             |
| 51803 (6540) Other Contract Services                          | 367,000            | 550,050              | 183,050            | 49.88%            |
| 51917 (6523) District Operations Chgs                         | 3,033,000          | 1,652,000            | (1,381,000)        | (45.53%)          |
| 51919 (6697) EFS Costs  | 46,554             | 54,901               | 8,347              | 17.93%            |
| 51921 (7206) Equipment Usage Charges                          | 31,200             | 35,000               | 3,800              | 12.18%            |
| 52111 (6400&61) Office Supplies                               | 20,000             | 4,000                | (16,000)           | (80.00%)          |
| 52117 (6410) Mail & Postage Supplies                          | 0                  | 700                  | 700                | N/A               |
| 52141 (6880) Minor Equip/Small Tools                          | 0                  | 20,000               | 20,000             | N/A               |
| <b>Subtotal Services and Supplies</b>                         | <b>\$8,222,862</b> | <b>\$13,427,328</b>  | <b>\$5,204,466</b> | <b>63.29%</b>     |
| <b><u>OTHER CHARGES</u></b>                                   |                    |                      |                    |                   |
| 53501 (8010) Contributions                                    | \$860,000          | \$860,000            | \$0                | 0.00%             |
| <b>Subtotal Other Charges</b>                                 | <b>\$860,000</b>   | <b>\$860,000</b>     | <b>\$0</b>         | <b>0.00%</b>      |
| <b><u>FIXED ASSETS</u></b>                                    |                    |                      |                    |                   |
| 19851 (9480) Intangible Assets-Non-amo                        | \$250,000          | \$275,210            | \$25,210           | 10.08%            |
| <b>Subtotal Fixed Assets</b>                                  | <b>\$250,000</b>   | <b>\$275,210</b>     | <b>\$25,210</b>    | <b>10.08%</b>     |
| <b>TOTAL EXPENDITURES</b>                                     | <b>\$9,332,862</b> | <b>\$14,562,538</b>  | <b>\$5,229,676</b> | <b>56.04%</b>     |
| <b>TOTAL NET COST</b><br><i>(Expenditures Minus Revenues)</i> | <b>\$2,799,226</b> | <b>\$8,266,067</b>   | <b>\$5,466,841</b> | <b>195.30%</b>    |

**FY 2016-17 BUDGET**  
**CHARACTER JUSTIFICATION**

Department - Divis Sonoma County Water Agency - Administration and General

Section Title: Warm Springs Dam - Special Revenue Fund

Character Title: Taxes

Character No.: 14135-33030100-10

**40002 (1000) Prop Taxes - CY Secured**

The amount requested for property tax revenue is based on prior year actuals and current year estimates from the Auditor-Controller's office.

**40005 (1008) RDA Increment**

This portion of the property tax is shifted to local redevelopment agencies.

**40006 (1014) AB1290 RDA Pass Through**

This amount is to report gross tax increment and pass throughs to cities and Rural Development Agencies.

**40010 (1017) Residual Prop-Tax RPTTE**

This revenue are residual amounts distributed from the Redevelopment Property Tax Trust Funds (RPTTF) back to taxing entities.

**40111 (1020) Prop Taxes - CY Supplemental**

The amount requested for property tax revenue is based on prior year actuals and current year estimates from the Auditor-Controller's office.

**40101 (1040) Prop Taxes - CY Unsecured**

The amount requested for property tax revenue is based on prior year actuals and current year estimates from the Auditor-Controller's office.

Character Title: Use of Money and Property

Character No.: 14135-33030100-10-17

**44002 (1700) Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on estimated cash flows and current interest rate trends.

|   |              |
|---|--------------|
| Estimated Average Cash Balance            | \$14,000,000 |
| Projected Interest Rate                   | 0.65%        |
| Projected/Planned Interest on Pooled Cash | \$84,000     |

Character Title: Intergovernmental Revenue

Character No.: 14135-33030100-20

**42291 (2440) State Homeowners Prop Tax Relf**

Home Owner Property Tax Relief revenues are received from the State as reimbursement for property tax revenues lost due to the Home Owner's Exemption. The amount requested is based on prior year actuals and current year estimates from the Auditor-Controller's office.

**42461 Federal-Other Funding**

Federal funding expected for SCWA existing Coastal Monitoring Program.

Character Title: Services and Supplies

Character No.: 14135-33030100-60

**51061 (6140) Maint of Equipment**

Order No. Various

This item is requested to provide appropriations for work for Dry Creek Mile 2 (\$6,527,164) and Dry Creek Mile 3 project (\$9,708,169).

**51211 (6610) Legal Services**

Order No. Various

This item request funds for county counsel and outside legal services as required.

**51241 (6430) Outside Printing & Binding**

Order No. Various

This item is requested to cover the costs of printing services, which are primarily furnished by the County Reprographics Department.

**51242 (6635) Bank Charges**

Order No. Various

This item request funds for an annual renewal fee.

**Character Title: Services and Supplies (cont'd)****Character No.:****14135-33030100-60****51244 (6589) Permits/License/Fees**

Order No. Various

This account records the cost of various permits including State Permits and Fees.  
(no longer using subobject 7217)**51401 (6820) Rents & Leases-Equipment**

Order No. Various

Rents and Leases - Equipment covers the costs of equipment as needed.

**51602 (7302) Business Travel/Mileage**

Order No. Various

This item is requested to cover the cost of travel expenses to meetings.

**51917 (6523) District Operations Chgs**

Order No. Various

This item is requested to provide funds for charging salaries, benefits and overhead costs for personnel assigned to maintain various projects.

| <u>Project</u>                                    | <u>Project #</u> | <u>Amount</u>      |
|---|------------------|--------------------|
| Russian River Final Flow Modeling                 | D0013C018        | \$32,000           |
| Hydroelectric Analysis                            | D0016B003        | 4,000              |
| Water Quality Modeling                            | D0014C018        | 16,000             |
| Climate Change Modeling (USGS)                    | D0017C018        | 8,000              |
| Hydrologic Index                                  | D0015C018        | 13,000             |
| Permanent D 1610 Change-Project Mang              | D0012C018        | 8,000              |
| Permanent D 1610 Change-Draft EIR                 | D0010C018        | 53,000             |
| Permanent D 1610 Change-Final EIR                 | D0038C018        | 316,000            |
| Community Outreach Programs                       | 7316             | 15,000             |
| Public Outreach Programs-Dry Creek                | D0007P006        | 105,000            |
| Stakeholder Process (Dry Creek & Advisory Group)  | D0009C018        | 12,000             |
| Potter Valley Check Dams - Maintenance            | 2635             | 10,000             |
| Russian River Steam Gauges - Maintenance          | 514              | 80,000             |
| Federal Legislative Advocacy                      | Various          | 20,000             |
| State Legislative Advocacy                        | 7414A1           | 15,000             |
| Water Education (Non-WC)                          | Various          | 25,000             |
| RRIFR-Dry Creek Mile 1 Maintenance                | D0018C004        | 50,000             |
| RRIFR-Dry Creek Mile 1 Post Construction          | D0018C022        | 50,000             |
| RRIFR-Coho Hatchery Funding                       | D0024C018        | 110,000            |
| RRIFR Coordination with US Army Corp              | D0011C018        | 200,000            |
| RRIFR-Dry Creek Pre-Post Const Biological Monitor | D0020C018        | 500,000            |
| RRIFR-Dry Creek Tributary Rehab & Fish Passage    | D0019B011        | 10,000             |
|   |                  | <u>\$1,652,000</u> |

**51803 (6540) Other Contract Services**

This item provides funds for the use of outside contract services as may be necessary to support the program.

| <u>Project</u>                                    | <u>Project #</u> | <u>Amount</u>    |
|---|------------------|------------------|
| USGS -Stream Gauges Russian River Drainage System | 514A3            | \$52,250         |
| Russian River Final Flow Modeling                 | D0013C018        | 16,000           |
| Water Quality Modeling                            | D0014D008        | 13,000           |
| Hydrologic Index                                  | D0015D008        | 3,300            |
| Permanent D 1610 Change-Draft EIR                 | D0010B007        | 13,000           |
| Permanent D 1610 Change-Final EIR                 | D0038B007        | 117,000          |
| Federal Legislative Advocacy                      | Various          | 135,000          |
| State Legislative                                 | 7414A1           | 88,000           |
| Salmon Stewards Program                           | 7315             | 5,000            |
| RRIFR Coordination with US Army Corp              | D0018B004        | 25,000           |
| Quagga Mussel Prevention Prog                     | 7592             | 75,000           |
| Community Outreach Programs                       | various          | 7,500            |
|   |                  | <u>\$550,050</u> |

**51919 (6697) EFS Costs**

This item represents the share of costs for the County's future operating system.

**51921 (7206) Equipment Usage Charge**

Order No. Various

This item is requested to provide funds for equipment usage. Recent cost experience indicated that

higher costs may incurred during the forthcoming year.

**52111 (6400&61) Office Supplies**

Order No. Various

Supplies/Expenses covers the costs of operational supplies.

**52117 (6410) Mail and Postage Supplies**

Order No. Various

This item is requested to cover the costs of the Agency's postage.

**51241 (6880) Minor Equipment/Small Tools**

Order No. Various

This item is requested to provide funds for purchase of small tools, instruments and equipment that are individually under \$5,000.

**Character Title: Other Charges**

**Character No.:**

**14135-33030100-75**

**53501 (8010) Contributions**

\$860,000

Operation and maintenance of Warm Springs Dam (\$600,000). Project # D0030  
The FY 16-17 appropriations is an estimate of the billing from the US Army Corp of Engineers.

**Character Title: Fixed Assets**

**Character No.:**

**14135-33030100-85**

**19851 (9480) Intangible Assets-Non-amort**

Order No. Various

\$275,210

This account records easement dedications, temporary permits and right-of-way work associated with on Dry Creek Habitat Restoration Project.

**FY 2016-17 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Supply  
**Fund:** Warm Springs Dam - Special Revenue Fund  
**Fund/Department ID:** 14135-33030100

| DESCRIPTION OF FUND ACTIVITY   | Actual<br>FY 14-15   | Estimated<br>FY 15-16 | Requested<br>FY 16-17 |
|--|----------------------|-----------------------|-----------------------|
| <b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance Available for Budgeting</b> (See Detailed Components Below)         | <b>\$7,161,160</b>   | <b>\$14,088,733</b>   | <b>\$9,097,771</b>    |
| <b>Annual Revenues and Expenditures:</b>   |                      |                       |                       |
| Revenues - Increase fund balance   | 6,534,161            | 6,881,540             | 6,296,471             |
| Expenditures - (Decrease) fund balance   | (8,823,320)          | (13,553,825)          | (14,562,538)          |
| <b>Net Surplus or Deficit - Increase/(Decrease) to fund balance</b>  | <u>(2,289,159)</u>   | <u>(6,672,285)</u>    | <u>(8,266,067)</u>    |
| <b>Adjustments to Reserves/Encumbrances:</b>   |                      |                       |                       |
| Change in Encumbrances   | 3,312,553            | 1,681,323             | -                     |
| Investment-Letter of Credit  | 5,840,798            | -                     | -                     |
| Unrealized Gains/Losses  | 63,381               | -                     | -                     |
| <b>Net Adjustment - Increase/(Decrease) to Fund Balance</b>  | <u>9,216,732</u>     | <u>1,681,323</u>      | <u>-</u>              |
| <b>Undesignated/Unreserved <u>ENDING</u> Fund Balance Available for Budgeting</b>  | <b>\$14,088,733</b>  | <b>\$9,097,771</b>    | <b>\$831,704</b>      |
| <b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b><br><i>(Difference between Beginning and Ending Balance)</i> | <b>\$6,927,573</b>   | <b>(\$4,990,962)</b>  | <b>(\$8,266,067)</b>  |
| <b><u>Fund Balance Components at Beginning of FY</u></b>   | <b><u>7/1/13</u></b> | <b><u>7/1/15</u></b>  |                       |
| Cash   | \$13,134,435         | \$16,430,216          |                       |
| Accounts Receivable  | 7,177                | -                     |                       |
| Accounts Payable   | (1,108,339)          | (865,514)             |                       |
| Due from Other Gov't   | 121,763              | 205,354               |                       |
| Encumbrances   | (4,993,876)          | (1,681,323)           |                       |
| <b>Total Beginning Fund Balance</b>  | <u>\$7,161,160</u>   | <u>\$14,088,733</u>   |                       |