

# SONOMA COUNTY WATER AGENCY

## FY 13-14 BUDGET REQUEST

### ***WATER TRANSMISSION***

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**FY 2013-14 BUDGET**  
**BUDGET DIVISION SUMMARY**

Section Title:

<b>WATER TRANSMISSION SYSTEM</b>
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**A. Program Description**

This section includes the operations and maintenance (O&M), construction projects and debt service obligations related to the Agency's Water Transmission System.

**B. Financial Summary**

Section	GROSS EXPENDITURES			NET COST / USE OF FUND BALANCE		
	FY 12-13 Adopted	FY 13-14 Requested	Percent Change	FY 12-13 Adopted	FY 13-14 Requested	Percent Change
Water Trans. Agency Fund	\$37,513,189	\$41,249,030	10%	\$4,902,514	\$5,062,829	3%
SR Aqueduct Capital Fund	1,919,000	60,000	(97%)	1,536,749	(589,655)	138%
Petaluma Aq. Capital Fund	290,000	0	(100%)	(123,714)	(987,326)	698%
Forestville Aq. Capital Fund	0	0	N/A	0	0	N/A
Sonoma Aq. Capital Fund	0	0	N/A	(72,880)	(260,661)	(258%)
Sonoma Aq. Revenue Bond	478,632	302,373	(37%)	182,546	45,083	(75%)
Storage Revenue Bond	426,891	413,886	(3%)	68,023	103,050	(51%)
Storage Revenue Bond 2006	759,188	549,330	(28%)	307,724	103,132	(66%)
Common Rev. Bond Fund	661,145	642,521	(3%)	159,965	207,325	30%
Common Rev. Bond Fund 2006	481,453	532,852	11%	78,929	134,569	70%
State Loan Debt Service	368,200	349,296	(5%)	(831,568)	(851,522)	2%
State Loan Reserve	0	0	N/A	(124,127)	(6,500)	(95%)
Storage Facilities	740,000	690,000	(7%)	(1,250)	(1,203)	4%
Pipeline Facilities	2,534,000	410,000	(84%)	(2,500)	147,270	5991%
Common Facilities	10,313,562	5,860,656	(43%)	7,430,054	224,753	97%
North Marin Water Deposit	0	0	N/A	(85)	(109)	(28%)
Water Management Planning	50,000	45,000	(10%)	(6,351)	(5,237)	18%
Watershed Planning/Restoration	3,725,600	3,947,000	6%	(259,395)	(276,087)	(6%)
Recycled Water & Local Supply	985,000	1,312,685	33%	(53,674)	(84,029)	57%
Water Conservation	1,510,000	2,270,000	50%	(107,634)	(208,671)	(94%)
<b>TOTAL:</b>	<b>\$62,755,860</b>	<b>\$58,634,630</b>	<b>(6.57%)</b>	<b>\$13,083,326</b>	<b>\$2,757,011</b>	<b>(78.93%)</b>

**C. Staffing Summary**

No staffing is allocated to indexes within this section. Refer to the Administration and General Section.

**D. Workload Summary**

n/a

**E. Summary of Issues and Significant Changes**

Refer to the Departmental Budget Summary for a summary of issues and significant changes.

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title: WATER TRANSMISSION AGENCY FUND**

**Section/Index No: 675108**

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$30,250	\$65,000	\$34,750	114.88%
<b>Subtotal Use of Money</b>	<b>\$30,250</b>	<b>\$65,000</b>	<b>\$34,750</b>	<b>114.88%</b>
<b><u>CHARGES FOR SERVICES</u></b>				
3666 Meter Service / Installation	\$20,000	\$20,000	\$0	0.00%
<b>Subtotal Charges for Service</b>	<b>\$20,000</b>	<b>\$20,000</b>	<b>\$0</b>	<b>0.00%</b>
<b><u>MISCELLANEOUS REVENUES</u></b>				
4039 Sale - Power	800,000	800,000	0	0.00%
4040 Miscellaneous Revenues	0	0	0	N/A
4102 Donations and Reimbursements	2,000	2,000	0	0.00%
4161 Water Sales - O&M	21,129,137	23,190,252	2,061,115	9.75%
4162 Common Rev. Bd. Chg.	2,636,003	2,379,338	(256,665)	(9.74%)
4163 Storage Rev. Bd. Chg.	807,647	754,889	(52,758)	(6.53%)
4164 Sonoma Aq. Rev. Bd. Chg.	294,056	255,665	(38,391)	(13.06%)
4165 Petaluma Aq. Rev. Bd. Chg.	0	0	0	N/A
4166 Santa Rosa Aq. Cap. Chg.	211,891	530,275	318,384	150.26%
4167 Petaluma Aq. Cap. Chg.	207,512	789,750	582,238	280.58%
4168 Sonoma Aq. Cap. Charge	72,230	257,736	185,506	256.83%
4169 NM Revenue Bond Charge	379,349	341,611	(37,738)	(9.95%)
4175 Water Mmgt Planning Sub-charge	50,000	45,000	(5,000)	(10.00%)
4176 Watershed Planning/Rest Sub-charge	3,725,600	3,947,000	221,400	5.94%
4177 Recycled Water&Local Sply Sub-charge	735,000	1,177,685	442,685	60.23%
4178 Water Conservation Sub-charge	1,510,000	1,630,000	120,000	7.95%
<b>Subtotal Miscellaneous Revenues</b>	<b>\$32,560,425</b>	<b>\$36,101,202</b>	<b>\$3,540,776</b>	<b>10.87%</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	0	0	0	N/A
<b>Subtotal Other Financing Sources</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL REVENUES</b>	<b>\$32,610,675</b>	<b>\$36,186,202</b>	<b>\$3,575,526</b>	<b>10.96%</b>

**EXPENDITURES:**

**SERVICES AND SUPPLIES**

6020 Clothing / Personal	0	0	0	N/A
6040 Communications	75,000	75,000	0	0.00%
6084 Janitorial Supplies	1,000	0	(1,000)	(100.00%)
6140 Maintenance Contracts & Equip.	1,200,000	1,125,000	(75,000)	(6.25%)
6180 Maintenance Projects	0	0	0	N/A
6262 Laboratory Supplies	25,000	25,000	0	0.00%
6280 Memberships	77,500	75,000	(2,500)	(3.23%)
6300 Miscellaneous Expense	0	0	0	N/A
6400 Office Expense	2,000	5,000	3,000	150.00%

**SUMMARY OF REVENUES AND EXPENDITURES**

**Index No.: 675108**

<b>Sub-Object No. and Title</b>	<b>Adopted 2012-13</b>	<b>Requested 2013-14</b>	<b>Difference</b>	<b>Percent Change</b>
<b>EXPENDITURES:</b>				
<b><u>SERVICES AND SUPPLIES (Contd.)</u></b>				
6410 Postage	1,000	5,000	4,000	400.00%
6415 Books/Periodicals	1,000	2,000	1,000	100.00%
6430 Printing Services	1,000	2,000	1,000	100.00%
6461 Supplies / Expenses	50,000	50,000	0	0.00%
6512 Testing / Analysis	135,000	135,000	0	0.00%
6516 Data Processing Services	5,000	10,000	5,000	100.00%
6522 District Administration (OH)	0	0	0	N/A
6523 District Operations (Staff Chg)	9,800,000	9,800,000	0	0.00%
6540 Contract Services	30,000	215,000	185,000	616.67%
6553 Contract - Security Services	25,000	25,000	0	0.00%
6570 Consultant Services/Studies	1,395,750	985,000	(410,750)	(29.43%)
6589 Permits	6,000	10,000	4,000	66.67%
6610 Legal Services	50,000	50,000	0	0.00%
6800 Public / Legal Notices	5,000	5,000	0	0.00%
6820 Rents and Leases - Equipment	85,000	85,000	0	0.00%
6840 Rents and Leases - Buildings/Impro	0	0	0	N/A
6880 Small Tools - Instruments	90,000	100,000	10,000	11.11%
6889 Software	120,000	0	(120,000)	(100.00%)
6890 Computer Hardware	10,000	10,000	0	0.00%
7120 Training In-Service	18,000	15,000	(3,000)	(16.67%)
7201 Gas / Oil	5,000	5,000	0	0.00%
7206 Equipment Usage Charge	750,000	600,000	(150,000)	(20.00%)
7212 Chlorine Chemical / Recorder	850,000	850,000	0	0.00%
7217 State Permits / Fees	40,000	30,000	(10,000)	(25.00%)
7250 Reimbursable Projects	2,000	2,000	0	0.00%
7296 FERC Fees / Costs	5,000	5,000	0	0.00%
7297 Riverfront Park Levee Maintenance	40,000	25,000	(15,000)	(37.50%)
7302 Travel Expense	20,000	20,000	0	0.00%
7303 Private Car Expense	2,000	2,500	500	25.00%
7320 Utilities	1,000	1,000	0	0.00%
7394 Power	4,691,500	4,700,000	8,500	0.18%
7480 Exp Applic to PY	0	0	0	N/A
<b>Subtotal Services and Supplies</b>	<b>\$19,614,750</b>	<b>\$19,049,500</b>	<b>(\$565,250)</b>	<b>(2.88%)</b>
<b><u>OTHER CHARGES</u></b>				
7920 Interest	\$43,146	\$45,000	\$1,854	4.30%
7980 Depreciation	\$5,700,000	\$5,700,000	\$0	0.00%
7981 Amortization	\$129,000	\$129,000	\$0	0.00%
8010 Contribution to Non-County	\$0	\$0	\$0	N/A
8090 Loss on Fixed Asset	\$0	\$0	\$0	N/A
<b>Subtotal Other Charges</b>	<b>\$5,872,146</b>	<b>\$5,874,000</b>	<b>\$1,854</b>	<b>0.03%</b>
<b><u>FIXED ASSETS</u></b>				
8510 Buildings / Improvements	\$70,000	\$70,000	\$0	0.00%
8533 SCWA - New Metered Service	20,000	20,000	0	0.00%
8560 Equipment	30,000	75,000	45,000	150.00%
<b>Subtotal Fixed Assets</b>	<b>\$120,000</b>	<b>\$165,000</b>	<b>\$45,000</b>	<b>37.50%</b>
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$11,766,293	\$16,020,530	\$4,254,237	36.16%
<b>Subtotal Other Financing Uses</b>	<b>\$11,766,293</b>	<b>\$16,020,530</b>	<b>\$4,254,237</b>	<b>36.16%</b>

**SUMMARY OF REVENUES AND EXPENDITURES**

**Index No.: 675108**

<b>Sub-Object No. and Title</b>	<b>Adopted 2012-13</b>	<b>Requested 2013-14</b>	<b>Difference</b>	<b>Percent Change</b>
<b><u>APPROPRIATIONS FOR CONTIN.</u></b>				
9000 Appropriations for Contingencies	\$140,000	\$140,000	\$0	0.00%
<b><i>Subtotal Approp. for Contingencies</i></b>	<b>\$140,000</b>	<b>\$140,000</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL EXPENDITURES</b>	<b>\$37,513,189</b>	<b>\$41,249,030</b>	<b>\$3,735,841</b>	<b>9.96%</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>\$4,902,514</b>	<b>\$5,062,829</b>	<b>\$160,315</b>	<b>3.27%</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Water Transmission Agency Fund

**Character Title:** Use of Money and Property

**Character No.:** 675108-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on anticipated cash balance and an interest rate forecast by the Sonoma County Treasurer for the County Pooled Investment Program for the coming fiscal year.

Estimated Average Cash Balance	10,000,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	<u>\$65,000</u>

**Character Title:** Charges for Service

**Character No.:** 675108-30

**3666 Meter Service / Installation**

This item reflects revenue received for installation of water meters. Actual revenues fluctuate from year to year based on requests for service. Expenditures associated with meter installation are budgeted in 675108-8533, SCWA - New Metered Service.

**Character Title:** Miscellaneous Revenues

**Character No.:** 675108-40

**4039 Sale - Power**

This item records revenue from the sale of power generated at the Warm Springs Dam hydro-electric plant and sold to Power Water Resource Pooling Authority. The contract with PWRPA is based on a variable rate contract equal to the instantaneous rate that Water Transmission is charged.

This contract will also give renewable energy credits to PWRPA and thereby allow the Agency to partially meet its renewable energy goal of "Carbon-free Water" by 2015.

<b>4102 Donations &amp; Reimbursements</b>	\$ 2,000
<b>4161 Water Sales - O&amp;M</b>	\$ 23,190,252
<b>4162 Common Facilities Revenue Bond Charge</b>	\$ 2,379,338
<b>4163 Storage Facilities Revenue Bond Charge</b>	\$ 754,889
<b>4164 Sonoma Aqueduct Revenue Bond Charge</b>	\$ 255,665
<b>4165 Petaluma Aqueduct Revenue Bond Charge</b>	\$ -
<b>4166 Santa Rosa Aqueduct Capital Charge</b>	\$ 530,275
<b>4167 Petaluma Aqueduct Capital Charge</b>	\$ 789,750
<b>4168 Sonoma Aqueduct Capital Charge</b>	\$ 257,736
<b>4169 North Marin Revenue Bond Charge</b>	\$ 341,611
<b>4175 Water Management Planning Charge</b>	\$ 45,000
<b>4176 Watershed Planning &amp; Restoration Charge</b>	\$ 3,947,000
<b>4177 Recycled Water &amp; Local Supply Charge</b>	\$ 1,177,685
<b>4178 Water Conservation Charge</b>	\$ 1,630,000
<b>Total Water Sales</b>	<u>\$ 35,299,202</u>

Under the terms of the Sixth Supplemental Ordinance adopted in December 2002, all water transmission system revenues are to first be deposited in the Agency fund and later transferred to the appropriate capital or debt service funds. The line items listed above are used to record receipt of these revenues.

Beginning July 1, 2006, all revenues received for the four subcharges are likewise received in the Agency's O&M fund and transferred to each subcharges' designated fund.

**Revenue is based on deliveries of 48,609 Acre Feet**

**FY 2013-14 BUDGET  
CHARACTER JUSTIFICATION**

Department - Division: Sonoma County Water Agency - Water Transmission  
 Section Title: Water Transmission Agency Fund  
 Character: Services and Supplies Character No.: 675108-60

**6040 Communications**

This item is requested to provide funds for communication costs for radio, telephone and data line charges.

**6140 Maintenance - Contracts and Equipment** SAP Order No. Various

This item is requested to provide funds for purchase of small equipment and for annual equipment maintenance contracts. Starting in Fy 2012/13 it also will contain the costs to maintain the pumping, storage, pipeline and hydro-electric facilities previously budgeted in 6180. See details below:

DESCRIPTION	SAP Order No. Various	Requested FY 13-14
Electrical Supplies and Maintenance Services		300,000
Pump and Pipeline Supplies and Maintenance Services		250,000
Hardware and Miscellaneous Supplies		150,000
SCADA Upgrades		150,000
Collector 6 Chlorine Lines Maintenance	7613	85,000
Water Treatment Systems Supplies and Maintenance Services		70,000
Replace Turbidimeters		50,000
Grounds Maintenance		40,000
Safety Equipment and Safety Equipment Testing		30,000
<b>6140 Total</b>		<b>1,125,000</b>

**6180 Maintenance - Buildings**

This item has provided funds for contractual costs associated with projects to maintain the pumping, storage, pipeline and hydro-electric facilities. In the future it will be combined with 6140 above.

DESCRIPTION	SAP Order No. Various	Requested FY 13-14
<b>6180 Total</b>		<b>0</b>

**6262 Laboratory Supplies / Expenses** SAP Order No. 609-2, 609-5, 3288

This account records expenses for supplies and expenses associated with the laboratory.

**6280 Memberships**

This account provides funds for Agency memberships in professional and technical organizations.

**6461 Supplies / Expenses** SAP Order No. 702, 3288

This account records expenses for routine office supplies and expenses.

**6512 Testing / Analysis** SAP Order No. 609-2, 3288

This account records expenses incurred to comply with all regulatory testing requirements.

**6516 Data Processing**

This account records expenses incurred to comply with all regulatory testing requirements.

**6523 District Operations** SAP Order No. Various

This item provides funds for staff costs when working on Water Transmission.

**6540 Contract Services**

DESCRIPTION	SAP Order No.	Requested FY 13-14
Cathodic Protection Upgrades	TBD	175,000

**6553 Contract - Security Services**

This item is requested to provide funds to contract for outside security services to patrol Agency facilities at Wohler and Mirabel.

**FY 2013-14 BUDGET  
CHARACTER JUSTIFICATION**

Department - Division: Sonoma County Water Agency - Water Transmission  
Section Title: Water Transmission Agency Fund

Character: Services and Supplies (contd.) Character No.: 675108-60

**6570 Consultant Services**

This item covers the costs of services provided by outside consultants.

DESCRIPTION	SAP Order No.	Requested FY 13-14
Collector Capacity Analysis	7271	210,000
LBNL Wohler Geophysics (phase 2 & 3)	7180	125,000
USGS Water Quality (Diversion Facilities) and SW/GW Stud	7111	125,000
As-Needed Dive Services	TBD	100,000
Groundwater Banking Feasibility - Pilot Studies	7412	100,000
Multi-year Water Quality Study		75,000
Legislative Advocacy - Federal	0702A6	50,000
Water Shortage Allocation Methodology		50,000
Mirabel Flow meters	7002	40,000
BAIRWMP	4043	30,000
NCIRWMP	4042A2	25,000
Conservation strategy group	0702A6	25,000
Natural Resource Results		20,000
Reports/Signs/Brochures		5,000
ACWA Meeting Sponsorships		5,000
	<b>6570 Total</b>	<b>985,000</b>

**6610 Legal Services**

This item is requested to provide funds for the estimated cost of legal services to be provided by County Counsel or outside legal services, as required.

**6820 Rents and Leases - Equipment**

This item is requested to provide funds for rents and leases of equipment.

**6880 Small Tools - Instruments**

This item is requested to provide funds for the purchase of small tools, instruments and equipment that are individually under \$5,000.

Ground Water Monitoring Instruments		15,000
	<b>6880 Total</b>	<b>15,000</b>

**6889 Software**

This item provides funds for license agreements and software related to the water transmission system.

**6890 Computer Hardware**

This item is requested to provide funds for the purchase of SCADA and telemetry controllers and instruments

**7120 Training/In-service**

This item provides funds for Water Transmission staff in-service training costs.

**7201 Gas / Oil**

Gas/Oil includes the costs of gas, diesel, and oil to operate the Agency's emergency generators.

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission  
**Section Title:** Water Transmission Agency Fund

**Character:** Services and Supplies (continued) **Character No.:** 675108-60

**7206 Equipment Usage Charge**

This item is requested to provide funds for equipment usage.

**7212 Chlorine Chemical / Recorder**

This sub-object provides funds for the purchase of chlorine gas used in chlorinating water prior to transporting to customers. This sub-object also provides funds for caustic soda required by the pH system.

**7217 State Permits / Fees**

This item is requested to provide funds for various permits and fees required by the State for Agency operations.

**7250 Reimbursable Projects**

This item is used to account for expenses that will have an offsetting revenue, for example if there is a funding agreement with an outside agency.

**7296 FERC Fees / Costs**

This item is requested to provide funds for fees paid to the Federal Energy Commission for licensing costs associated with the Agency's Hydro-Electric facility at Warm Springs Dam.

**7302 Travel Expense**

This item is requested to provide funds to cover the cost of travel expenses to meetings and seminars by Agency staff. Seminars directly related to Water Transmission only.

**7303 Private Car Expense**

This item is requested to provide funds to reimburse employees for the use of their private vehicles. For Water Transmission System activities only.

**7320 Utilities**

This item is requested to provide funds for utility costs other than power for the water transmission system.

**7394 Power**

The item is requested to provide funds for electrical energy costs required to meet the pumping demands of the water transmission system and to operate other water transmission facilities.

**Character:** Other Charges **Character No.:** 675108-75

**7980 Depreciation**

This item is requested to provide appropriations for depreciation of the water transmission system buildings, improvements and equipment. All completed construction projects from Storage Facilities, Pipeline Facilities and Common Facilities are transferred to the Water Transmission fund upon completion and depreciation is booked in the Water Transmission fund. No cash expenditure of funds is made.

**8010 Contribution to Non-County**

This item normally provides funding to partner organizations that help to achieve the Agency's water supply goals. This includes the Business Environmental Alliance, the North Bay Watershed Association and the Russian River Watershed Association. No funds are requested for the 12-13 fiscal year.

**FY 2013-14 BUDGET  
CHARACTER JUSTIFICATION**

Department - Division: Sonoma County Water Agency - Water Transmission

Section Title: Water Transmission Agency Fund

Character: Fixed Assets

Character No.: 675108-85

**8510 Buildings / Improvements**

This item is requested to provide funds for replacement and or improvement projects that may need to be undertaken during the fiscal year.

**8533 SCWA - New Metered Service**

SAP Order No. 2887 - 2895

This item provides funds for the installation of meters, turnouts and taps on the Agency's pipelines. Reimbursement for these expenditures is budgeted in 675108-3666, Meter Service / Installation.

**8560 Equipment**

This item is requested to provide funds for equipment purchases which may be identified during the fiscal year.

DESCRIPTION	PROJECT #	Requested FY 13-14
Other equipment		30,000
		<u>30,000</u>

Character: Other Financing Uses

Character No.: 675108-86

**8625 OT - W/in Special Dist - BOS**

This item is requested to transfer revenues collected as part of the rate for capital improvements and debt service payments. These transfers are made pursuant to the terms of the Master Water Supply Agreement and the Sixth Supplemental Ordinance.

Santa Rosa Aqueduct Capital Fund	530,275
Petaluma Aqueduct Capital Fund	789,750
Sonoma Aqueduct Capital Fund	257,736
Common Facilities Fund	4,505,708
Storage Facilities Fund	0
North Marin Deposit	0
Sonoma Aqueduct Revenue Bond Fund	255,665
Storage Facilities Rev Bond Fund 2012	310,186
Storage Facilities Rev Bond 2006 Fund	444,703
Common Facilities Rev Bond Fund 2012	433,246
Common Facilities Rev Bond 2006 Fund	397,308
State Loan Debt Service Fund	1,196,268
State Loan Reserve Fund	0
Water Management Planning Fund	45,000
Watershed Planning/Restoration Fund	3,947,000
Recycled Water and Local Supply Fund	1,177,685
Water Conservation Fund	1,730,000
<b>Total</b>	<b><u>\$16,020,530</u></b>

Character: Appropriations for Contingencies

Character No.: 675108-90

**9000 Appropriations for Contingencies**

This reserve is established for unanticipated costs which may arise during the fiscal year.

## STATEMENT OF SPECIAL FUND ACTIVITY

**Department:** Sonoma County Water Agency - Water Transmission

**Section:** Water Transmission Agency Fund

**Index No.:** 675108

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$6,810,780</b>	<b>\$8,887,458</b>	<b>\$11,277,939</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	32,249,815	34,962,887	36,186,202
Expenditures - (Decrease) fund balance	(35,886,275)	(38,401,406)	(41,249,030)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	(3,636,460)	(3,438,519)	(5,062,829)
<b>Adjustments to Reserves/Encumbrances:</b>			
Depreciation	5,569,561	5,829,000	5,700,000
Outstanding Encumbrances - (Decrease) Fund Balance	448,227	0	
Change in Prudent Reserve	(294,558)	0	
Post Audit Adjustments-Payables	0	0	
Post Audit Adjustments-Receivables	0	0	
Capitalized Interest	(10,091)	0	
Gain/Loss on Disposal of Fixed Asset	0	0	
Reclass of Prior Year Asset	0	0	
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	5,713,139	5,829,000	5,700,000
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>8,887,459</b>	<b>11,277,939</b>	<b>11,915,110</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$2,076,679</b>	<b>\$2,390,481</b>	<b>\$637,171</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$7,587,828	\$10,815,530	
Accounts Receivable	4,740,292	3,593,150	
Deposits with Others	13,000	13,000	
Due from Other Governments	4,726	10,089	
Accounts Payable	(405,887)	(564,769)	
Deferred Revenue	0	(168)	
Reserved for Conservation/Recycled	0	0	
Contingent Liability	0	0	
Contract Retention Payable	(9,896)	(13,760)	
Encumbrances	(1,288,337)	(840,110)	
Prudent Reserve	(3,830,946)	(4,125,504)	
<b>Total Beginning Fund Balance</b>	<b>\$6,810,780</b>	<b>\$8,887,458</b>	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** SANTA ROSA AQUEDUCT CAPITAL FUND  
**Section/Index No:** 675207

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b>USE OF MONEY</b>				
1700 Interest on Pooled Cash	\$25,000	\$29,250	\$4,250	17.00%
1701 Interest Earned	145,360	90,130	(55,230)	(38.00%)
<b>Subtotal Use of Money</b>	<b>\$170,360</b>	<b>\$119,380</b>	<b>(\$50,980)</b>	<b>(29.92%)</b>
<b>MISCELLANEOUS REVENUE</b>				
4102 Reimbursements	0	\$0	0	N/A
<b>Subtotal Miscellaneous Revenues</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>ADMINISTRATIVE CONTROL ACCT</b>				
4210 Advances	\$64,646	\$42,297	(\$22,349)	(34.57%)
4219 Advances - Clearing	(64,646)	(\$42,297)	22,349	(34.57%)
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>OTHER FINANCING SOURCES</b>				
4625 OT - W/in Special Dist - BOS	\$211,891	\$530,275	\$318,384	150.26%
<b>Subtotal Other Financing Sources</b>	<b>\$211,891</b>	<b>\$530,275</b>	<b>\$318,384</b>	<b>150.26%</b>
<b>TOTAL REVENUES</b>	<b>\$382,251</b>	<b>\$649,655</b>	<b>\$267,404</b>	<b>69.96%</b>
<b>EXPENDITURES:</b>				
<b>OTHER FINANCING SOURCES</b>				
8625 OT - W/in Special Dist - BOS	\$1,919,000	\$60,000	(\$1,859,000)	(96.87%)
<b>Subtotal Other Financing Sources</b>	<b>\$1,919,000</b>	<b>\$60,000</b>	<b>(\$1,859,000)</b>	<b>(96.87%)</b>
<b>ADMINISTRATIVE CONTROL ACCT</b>				
9210 Advances	\$3,650,000	\$200,000	(\$3,450,000)	(94.52%)
9219 Advances - Clearing	(3,650,000)	(200,000)	3,450,000	(94.52%)
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$1,919,000</b>	<b>\$60,000</b>	<b>(\$1,859,000)</b>	<b>(96.87%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>\$1,536,749</b>	<b>(\$589,655)</b>	<b>(\$2,126,404)</b>	<b>(138.37%)</b>



**FY 2013-14 BUDGET**

**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission

**Section:** Santa Rosa Aqueduct Capital Fund

**Index No.:** 675207

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting (See Detailed Components Below)</b>	<b>\$5,775,073</b>	<b>\$5,885,357</b>	<b>\$3,973,327</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	110,284	204,970	649,655
Expenditures - (Decrease) fund balance	0	(2,117,000)	(60,000)
<b>Net Surplus or Deficit - Increase/(Decrease) to fund balance</b>	<b>110,284</b>	<b>(1,912,030)</b>	<b>589,655</b>
<b>Adjustments to Reserves/Encumbrances:</b>			
Advance to Common	\$0	\$0	(\$200,000)
Increase/(Decrease) in Loan Payable	\$0	\$0	
Principal Received on Advance	\$0	\$0	\$42,297
<b>Net Adjustment - Increase/(Decrease) to Fund Balance</b>	<b>0</b>	<b>0</b>	<b>(157,703)</b>
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>5,885,357</b>	<b>3,973,327</b>	<b>4,405,279</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$110,284</b>	<b>(\$1,912,030)</b>	<b>\$431,952</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$5,775,073	\$5,885,357	
<b>Total Beginning Fund Balance</b>	<b>\$5,775,073</b>	<b>\$5,885,357</b>	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** PETALUMA AQUEDUCT CAPITAL FUND  
**Section/Index No:** 675215

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$25,000	\$37,050	\$12,050	48.20%
1701 Interest Earned	181,202	160,526	(20,676)	(11.41%)
<b>Subtotal Use of Money</b>	<b>\$206,202</b>	<b>\$197,576</b>	<b>(\$8,626)</b>	<b>(4.18%)</b>
<b><u>MISCELLANEOUS REVENUES</u></b>				
4102 Reimbursements	0	\$0	0	N/A
<b>Subtotal Miscellaneous Revenues</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>ADMINISTRATIVE CONTROL ACCT</u></b>				
4210 Advances	\$80,586	\$75,466	(5,120)	(6.35%)
4219 Advances - Clearing	(80,586)	(\$75,466)	5,120	(6.35%)
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$207,512	\$789,750	\$582,238	280.58%
<b>Subtotal Other Financing Sources</b>	<b>\$207,512</b>	<b>\$789,750</b>	<b>\$582,238</b>	<b>280.58%</b>
<b>TOTAL REVENUES</b>	<b>\$413,714</b>	<b>\$987,326</b>	<b>\$573,612</b>	<b>138.65%</b>
<b>EXPENDITURES:</b>				
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$290,000	\$0	(\$290,000)	(100.00%)
<b>Subtotal Other Financing Uses</b>	<b>\$290,000</b>	<b>\$0</b>	<b>(\$290,000)</b>	<b>(100.00%)</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
9210 Advances	\$4,550,000	\$200,000	(\$4,350,000)	(95.60%)
9219 Advances - Clearing	(4,550,000)	(200,000)	4,350,000	(95.60%)
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$290,000</b>	<b>\$0</b>	<b>(\$290,000)</b>	<b>(100.00%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$123,714)</b>	<b>(\$987,326)</b>	<b>(\$863,612)</b>	<b>698.07%</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Petaluma Aqueduct Capital Fund

**Character Title:** Use of Money and Property **Character No.:** 675215-17

<b>1700 Interest on Pooled Cash</b>	
This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.	
Estimated Average Cash Balance	5,700,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$37,050

**Character Title:** Other Financing Sources **Character No.:** 675215-46

<b>4625 OT - W/in Special Dist - BOS</b>							
An Aqueduct Capital Charge per Acre Foot is being levied to accumulate funds for carrying out capital replacement needs of the Petaluma Aqueduct - per recommendation of the Water Advisory Committee, pursuant to the terms of the Master Water Supply Agreement. This amount is initially deposited in the Water Transmission Agency Fund and later transferred to the Petaluma Aqueduct Capital Fund pursuant to the terms of the Sixth Supplemental Ordinance.							
	<table style="margin-left: auto; margin-right: auto;"> <tr> <td style="text-align: center; border-bottom: 1px solid black;">Rate</td> <td style="text-align: center; border-bottom: 1px solid black;">Deliveries</td> <td></td> </tr> <tr> <td style="text-align: center;">\$ 29.00</td> <td style="text-align: center;">27,232.76</td> <td style="text-align: right;">\$ 789,750</td> </tr> </table>	Rate	Deliveries		\$ 29.00	27,232.76	\$ 789,750
Rate	Deliveries						
\$ 29.00	27,232.76	\$ 789,750					

**Character Title:** Other Financing Uses **Character No.:** 675215-86

<b>8625 OT - W/in Special Dist - BOS</b>	
Operating Transfer to Pipeline Facilities #675413, per Long-range Financial Plan	\$0

**Character:** Administrative Account **Character No.:** 675215-92

<b>9200 ENT - Principal</b>	
This account reflects the principal payment on the loan to Common Facilities for capital projects.	
	\$200,000
<b>9209 ENT - Principal - Clearing</b>	
This is the clearing account for the sub-object 9200, ENT - Principal.	
	(\$200,000)

**FY 2013-14 BUDGET**

**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission

**Section:** Petaluma Aqueduct Capital Fund

**Index No.:** 675215

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <i>BEGINNING</i> Fund Balance Available for Budgeting</b> (See Detailed Components Below)	<b>\$5,581,223</b>	<b>\$5,433,916</b>	<b>\$5,555,819</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	49,779	171,903	987,326
Expenditures - (Decrease) fund balance	(197,086)	(50,000)	0
<b>Net Surplus or Deficit - Increase/(Decrease) to fund balance</b>	<b>(147,307)</b>	<b>121,903</b>	<b>987,326</b>
<b>Adjustments to Reserves/Encumbrances:</b>			
Advance to Common	\$0	\$0	(\$200,000)
Increase/(Decrease) in Loan Payable	\$0	\$0	
Principal Received on Advance	\$0	\$0	\$75,466
<b>Net Adjustment - Increase/(Decrease) to Fund Balance</b>	<b>0</b>	<b>0</b>	<b>(124,534)</b>
<b>Undesignated/Unreserved <i>ENDING</i> Fund Balance Available for Budgeting</b>	<b>5,433,916</b>	<b>5,555,819</b>	<b>6,418,611</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>(\$147,307)</b>	<b>\$121,903</b>	<b>\$862,792</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>			
	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$5,581,223	\$5,433,916	
Bonds Payable - current	0	0	
<b>Total Beginning Fund Balance</b>	<b>\$5,581,223</b>	<b>\$5,433,916</b>	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title: SONOMA AQUEDUCT CAPITAL FUND**

**Section/Index No: 675231**

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$650	\$2,925	\$2,275	350.00%
1760 Investment Income	0	0	0	N/A
<b>Subtotal Use of Money</b>	<b>\$650</b>	<b>\$2,925</b>	<b>\$2,275</b>	<b>350.00%</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$72,230	\$257,736	\$185,506	256.83%
<b>Subtotal Other Financing Sources</b>	<b>\$72,230</b>	<b>\$257,736</b>	<b>\$185,506</b>	<b>256.83%</b>
<b>TOTAL REVENUES</b>	<b>\$72,880</b>	<b>\$260,661</b>	<b>\$187,781</b>	<b>257.66%</b>
<b>EXPENDITURES:</b>				
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$0	\$0	\$0	N/A
<b>Subtotal Other Financing Uses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
9200 Ent - Principal	\$0	\$0	\$0	N/A
9209 Ent - Principal Clearing	0	0	0	N/A
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$72,880)</b>	<b>(\$260,661)</b>	<b>(\$187,781)</b>	<b>257.66%</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Sonoma Aqueduct Capital Fund

**Character Title:** Use of Money and Property **Character No.:** 675231-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	450,000
Projected Interest Rate	0.65%
	_____
Projected/Planned Interest on Pooled Cash	\$2,925

**Character Title:** Other Financing Sources **Character No.:** 675231-46

**4625 OT - Win Special Dist - BOS**

An Aqueduct Capital Charge per Acre Foot is being levied to accumulate funds for carrying out capital replacement needs of the Sonoma Aqueduct - per recommendation of the Water Advisory Committee, pursuant to the terms of the Master Water Supply Agreement. This amount is initially deposited in the Water Transmission Agency Fund and later transferred to the Sonoma Aqueduct Capital Fund pursuant to the terms of the Sixth Supplemental Ordinance.

	<u>Rate</u>	<u>Deliveries</u>	
	\$ 29.00	8,887.46	\$ 257,736

**Character:** Other Financing Uses **Character No.:** 675231-86

**8625 Operating Transfer Out** \$0

**FY 2013-14 BUDGET**

**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission

**Section:** Sonoma Aqueduct Capital Fund

**Index No.:** 675231

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance Available for Budgeting</b> (See Detailed Components Below)	<b>\$125,049</b>	<b>\$176,164</b>	<b>\$259,023</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	51,115	82,859	260,661
Expenditures - (Decrease) fund balance	0	0	0
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	51,115	82,859	260,661
<b>Adjustments to Reserves/Encumbrances:</b>			
No adjustments required at this time.	0	0	
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	0	0	0
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance Available for Budgeting</b>	<b>176,164</b>	<b>259,023</b>	<b>519,684</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$51,115</b>	<b>\$82,859</b>	<b>\$260,661</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$125,049	\$176,164	
<b>Total Beginning Fund Balance</b>	\$125,049	\$176,164	

**FY 2013-14 BUDGET**

**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title: SONOMA AQUEDUCT REVENUE BONDS**

**Section/Index No: 675470**

<b>Sub-Object No. and Title</b>	<b>Adopted 2012-13</b>	<b>Requested 2013-14</b>	<b>Difference</b>	<b>Percent Change</b>
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$2,030	\$1,625	(\$405)	(19.95%)
1760 Investment Income	0	0	0	N/A
<b>Subtotal Use of Money</b>	<b>\$2,030</b>	<b>\$1,625</b>	<b>(\$405)</b>	<b>(19.95%)</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
4200 Long Term Debt Proceeds	\$0	\$0	\$0	N/A
4209 Long Term Debt Clearing	0	0	\$0	N/A
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$294,056	\$255,665	(\$38,391)	(13.06%)
<b>Subtotal Miscellaneous Revenues</b>	<b>\$294,056</b>	<b>\$255,665</b>	<b>(\$38,391)</b>	<b>(13.06%)</b>
<b>TOTAL REVENUES</b>	<b>\$296,086</b>	<b>\$257,290</b>	<b>(\$38,796)</b>	<b>(13.10%)</b>
<b>EXPENDITURES:</b>				
<b><u>SERVICES AND SUPPLIES</u></b>				
6635 Fiscal Agent Fees	\$1,200	\$2,500	\$1,300	108.33%
6640 Debt Issuance Costs	2,320	0	(2,320)	(100.00%)
<b>Subtotal Services and Supplies</b>	<b>\$3,520</b>	<b>\$2,500</b>	<b>(\$1,020)</b>	<b>(28.98%)</b>
<b><u>OTHER CHARGES</u></b>				
7920 Long-Term Interest	\$149,850	\$99,873	(\$49,977)	(33.35%)
7923 Discount / Bonds	262	0	(262)	(100.00%)
<b>Subtotal Other Charges</b>	<b>\$150,112</b>	<b>\$99,873</b>	<b>(\$50,239)</b>	<b>(33.47%)</b>
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$325,000	\$200,000	(\$125,000)	(38.46%)
<b>Subtotal Other Financing Uses</b>	<b>\$325,000</b>	<b>\$200,000</b>	<b>(\$125,000)</b>	<b>(38.46%)</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
9200 Ent - Principal	\$102,069	\$119,944	\$17,875	17.51%
9209 Ent - Principal Clearing	(102,069)	(119,944)	(17,875)	17.51%
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$478,632</b>	<b>\$302,373</b>	<b>(\$176,259)</b>	<b>(36.83%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>\$182,546</b>	<b>\$45,083</b>	<b>(\$137,463)</b>	<b>(75.30%)</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Sonoma Aqueduct Revenue Bonds

**Character Title:** Use of Money and Property **Character No.:** 675470-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	250,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$1,625

**Character Title:** Other Financing Sources **Character No.:** 675470-46

**4625 OT - W/in Special Dist - BOS**

The water sales revenue is calculated to meet the revenue requirements of the Sonoma Aqueduct portion of the Water Revenue Bonds, Series 20012 A. The revenue is required to meet 115% of the annual debt service costs of the bonds. The revenue is initially deposited in the Water Transmission Agency Fund and later transferred to the Sonoma Aqueduct Revenue Bond, pursuant to the terms of the Sixth Supplemental Ordinance.

Annual Debt Service Requirements

Principal	\$119,944
Interest	99,873
Fiscal Agent Fees	2,500
Debt Issuance Costs	0
Discount / Bonds	0
Total Debt Service	<u>222,317</u>
Revenue Requirement (115% x Debt Service)	<u><u>\$255,665</u></u>

**Character:** Services and Supplies **Character No.:** 675470-60

**6635 Fiscal Agent Fees**

Fiscal Agent Fees are paid to trustee for servicing bonds.

**6640 Debt Issuance Costs**

Amounts are based on bond amortization schedules. Bond costs of issuance are amortized over the life of the bonds. No cash expenditure of funds is required.

**Character:** Other Charges **Character No.:** 675470-75

**7920 Interest**

Water Revenue Bonds - Series 2012A, per the bond amortization schedule.

**7923 Discount / Bonds**

Amounts are based on bond amortization schedules. Bond discounts are amortized over the life of the bonds. No cash expenditure of funds is required.

**Character:** Other Financing Uses **Character No.:** 675470-86

**8625 Operating Transfer Out**

This item is used to transfer bond proceeds to Pipeline Facilities Fund to finance construction of projects and to restore fund balance.

SBS Electrical Upgrade and Pumping Reliability	7617	200,000
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**Character:** Administrative Account **Character No.:** 675470-92

**9200 ENT - Principal**

This account reflects the principal payment for the Water Revenue Bond, Series 2003A. The request for the fiscal year is based on the projected amortization schedule.

**9209 ENT - Principal - Clearing**

This is the clearing account for the sub-object 9200, ENT - Principal.

## STATEMENT OF SPECIAL FUND ACTIVITY

**Department:** Sonoma County Water Agency - Water Transmission  
**Section:** Sonoma Aqueduct Revenue Bonds  
**Index No.:** 675470

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$470,626</b>	<b>\$466,504</b>	<b>\$486,974</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	298,503	314,762	257,290
Expenditures - (Decrease) fund balance	(206,967)	(300,042)	(302,373)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	91,536	14,720	(45,083)
<b>Adjustments to Reserves/Encumbrances:</b>			
(Increase)/Decrease in Bonds Payable	(3,827)	0	0
Principal Payments on Bonds	(94,414)	(98,242)	(119,944)
Amortization of Bond Discount	262	262	0
Amortization of Bond Issuance Costs	2,320	103,730	0
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	(95,659)	5,750	(119,944)
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>	<b>466,503</b>	<b>486,974</b>	<b>321,946</b>
<b>Available for Budgeting</b>	<b>466,503</b>	<b>486,974</b>	<b>321,946</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>(\$4,123)</b>	<b>\$20,470</b>	<b>(\$165,027)</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$472,666	\$468,539	
Cash with Fiscal Agent/Trustee	423,284	489,028	
Restricted Cash with Trustee	(252,133)	(252,133)	
Other current liability (good faith on refunding)		(63,800)	
Matured Bonds Payable	(94,414)	(98,241)	
Interest Payable	(78,777)	(76,889)	
<b>Total Beginning Fund Balance</b>	<b>\$470,626</b>	<b>\$466,504</b>	

**FY 2013-14 BUDGET  
SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** STORAGE FACILITIES REVENUE BONDS 2012  
**Section/Index No:** 675462

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b>USE OF MONEY</b>				
1700 Interest on Pooled Cash	\$1,185	\$650	(\$535)	(45.15%)
<b>Subtotal Use of Money</b>	<b>\$1,185</b>	<b>\$650</b>	<b>(\$535)</b>	<b>(45.15%)</b>
<b>ADMINISTRATIVE CONTROL ACCOUNT</b>				
4200 Long Term Debt Proceeds	\$0	\$0	\$0	N/A
4209 Long Term Debt Clearing	0	0	0	N/A
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>OTHER FINANCING SOURCES</b>				
4625 OT - W/in Special Dist - BOS	\$357,683	\$310,186	(\$47,497)	(13.28%)
<b>Subtotal Miscellaneous Revenues</b>	<b>\$357,683</b>	<b>\$310,186</b>	<b>(\$47,497)</b>	<b>(13.28%)</b>
<b>TOTAL REVENUES</b>	<b>\$358,868</b>	<b>\$310,836</b>	<b>(\$48,032)</b>	<b>(13.38%)</b>
<b>EXPENDITURES:</b>				
<b>SERVICES AND SUPPLIES</b>				
6635 Fiscal Agent Fees	\$1,500	\$2,500	\$1,000	66.67%
6640 Debt Issuance Costs	2,822	0	(2,822)	(100.00%)
<b>Subtotal Services and Supplies</b>	<b>\$4,322</b>	<b>\$2,500</b>	<b>(\$1,822)</b>	<b>(42.16%)</b>
<b>OTHER CHARGES</b>				
7920 Long-Term Interest	\$182,250	\$121,386	(\$60,864)	(33.40%)
7923 Discount / Bonds	\$319	\$0	(\$319)	(100.00%)
<b>Subtotal Other Charges</b>	<b>\$182,569</b>	<b>\$121,386</b>	<b>(\$61,183)</b>	<b>(33.51%)</b>
<b>OTHER FINANCING USES</b>				
8625 OT - W/in Special Dist - BOS	\$240,000	\$290,000	\$50,000	20.83%
<b>Subtotal Other Financing Uses</b>	<b>\$240,000</b>	<b>\$290,000</b>	<b>\$50,000</b>	<b>20.83%</b>
<b>ADMINISTRATIVE CONTROL ACCOUNT</b>				
9200 Ent - Principal	\$124,138	\$145,841	\$21,703	17.48%
9209 Ent - Principal Clearing	(124,138)	(145,841)	(21,703)	17.48%
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$426,891</b>	<b>\$413,886</b>	<b>(\$13,005)</b>	<b>(3.05%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>\$68,023</b>	<b>\$103,050</b>	<b>\$35,027</b>	<b>51.49%</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Storage Facilities Revenue Bonds 2012

**Character Title:** Use of Money and Property

**Character No.:** 675462-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	100,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$650

**Character Title:** Other Financing Sources

**Character No.:** 675462-46

**4625 OT - W/in Special Dist - BOS**

The water sales revenue is calculated to meet the revenue requirements of the Storage Facilities portion of the Water Revenue Bonds, Series 2012 A. The revenue is required to meet 115% of the annual debt service costs of the bonds. The revenue is initially deposited in the Water Transmission Agency Fund and later transferred to the Storage Facilities Revenue Bond, pursuant to the terms of the Sixth Supplemental Ordinance.

Annual Debt Service Requirements

Principal	\$145,841
Interest	121,386
Fiscal Agent Fees	2,500
Debt Issuance Costs	0
Discount / Bonds	0
Total Debt Service	269,727
Revenue Requirement (115% x Debt Service)	\$310,186

**Character:** Services and Supplies

**Character No.:** 675462-60

**6635 Fiscal Agent Fees**

Fiscal Agent Fees are paid to trustee for servicing bonds.

**6640 Debt Issuance Costs**

Amounts are based on bond amortization schedules. Bond costs of issuance are amortized over the life of the bonds. No cash expenditure of funds is required.

**Character:** Other Charges

**Character No.:** 675462-75

**7920 Interest**

Water Revenue Bonds - Series 2012A, per the bond amortization schedule.

**7923 Discount / Bonds**

Amounts are based on bond amortization schedules. Bond discounts are amortized over the life of the bonds. No cash expenditure of funds is required.

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Storage Facilities Revenue Bonds 2012

**Character:** Other Financing Uses **Character No.:** 675462-86

**8625 Operating Transfer Out**

This item is used to transfer bond proceeds to Storage Facilities Fund to finance construction of projects and to restore fund balance.

SAP Order No.

\$290,000

Petaluma Aq Relocation - Kastania	7393
Kawana-Ralphine-SBS Pipeline Study	3763
Ralphine Tanks Flow Thru Conversion	3763

**Character:** Administrative Account **Character No.:** 675462-92

**9200 ENT - Principal**

This account reflects the principal payment for the Water Revenue Bond, Series 2012A. The request for the fiscal year is based on the projected amortization schedule.

**9209 ENT - Principal - Clearing**

This is the clearing account for the sub-object 9200, ENT - Principal.

**FY 2013-14 BUDGET**

**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission  
**Section:** Storage Facilities Revenue Bonds 2012  
**Index No.:** 675462

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$309,201</b>	<b>\$363,409</b>	<b>\$256,407</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	361,426	358,963	310,836
Expenditures - (Decrease) fund balance	(190,875)	(446,569)	(413,886)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	170,551	(87,606)	(103,050)
<b>Adjustments to Reserves/Encumbrances:</b>			
Increase/(Decrease) in Bonds Payable		0	0
Long Term Debt Proceeds		0	
Principal Payments on Bonds	(114,828)	(145,841)	(145,841)
<b>(Increase) / Decrease in Bonds Payable</b>	(4,655)		
Amortization of Bond Discount	319	319	0
Amortization of Bond Issuance Costs	2,822	126,126	0
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	(116,343)	(19,396)	(145,841)
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>363,409</b>	<b>256,407</b>	<b>7,516</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$54,208</b>	<b>(\$107,002)</b>	<b>(\$248,891)</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$311,718	\$365,920	
Cash with Fiscal Agent/Trustee	514,769	594,708	
Restricted Cash with Trustee	(306,648)	(306,648)	
Other current liability (good faith on refunding)		(77,575)	
Matured Bonds Payable	(114,828)	(119,483)	
Interest Payable	(95,810)	(93,513)	
<b>Total Beginning Fund Balance</b>	<b>\$309,201</b>	<b>\$363,409</b>	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** STORAGE FACILITIES REVENUE BONDS 2006  
**Section/Index No:** 675488

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$1,500	\$1,495	(\$5)	(0.33%)
1701 Interest Earned	-	-	-	N/A
<b>Subtotal Use of Money</b>	<b>\$1,500</b>	<b>\$1,495</b>	<b>(\$5)</b>	<b>-0.33%</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
4200 Long Term Debt Proceeds	\$0	\$0	\$0	N/A
4209 Long Term Debt Clearing	-	-	-	N/A
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$449,964	\$444,703	(\$5,261)	(1.17%)
<b>Subtotal Miscellaneous Revenues</b>	<b>\$449,964</b>	<b>\$444,703</b>	<b>(\$5,261)</b>	<b>(1.17%)</b>
<b>TOTAL REVENUES</b>	<b>\$451,464</b>	<b>\$446,198</b>	<b>(\$5,266)</b>	<b>(1.17%)</b>
<b>EXPENDITURES:</b>				
<b><u>SERVICES AND SUPPLIES</u></b>				
6635 Fiscal Agent Fees	\$2,500	\$2,500	\$0	0.00%
6640 Debt Issuance Costs	4,576	-	(4,576)	(100.00%)
<b>Subtotal Services and Supplies</b>	<b>\$7,076</b>	<b>\$2,500</b>	<b>(\$4,576)</b>	<b>(64.67%)</b>
<b><u>OTHER CHARGES</u></b>				
7920 Long-Term Interest	\$252,112	\$246,830	(\$5,282)	(2.10%)
7923 Discount / Bonds	-	-	-	N/A
<b>Subtotal Other Charges</b>	<b>\$252,112</b>	<b>\$246,830</b>	<b>(\$5,282)</b>	<b>(2.10%)</b>
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$500,000	\$300,000	(\$200,000)	(40.00%)
<b>Subtotal Other Financing Uses</b>	<b>\$500,000</b>	<b>\$300,000</b>	<b>(\$200,000)</b>	<b>(40.00%)</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
9200 Ent - Principal	\$132,085	\$137,368	\$5,283	4.00%
9209 Ent - Principal Clearing	(132,085)	(137,368)	(5,283)	4.00%
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$759,188</b>	<b>\$549,330</b>	<b>(\$209,858)</b>	<b>(27.64%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>\$307,724</b>	<b>\$103,132</b>	<b>(\$204,592)</b>	<b>(66.49%)</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Storage Facilities Revenue Bonds 2006

**Character Title:** Use of Money and Property

**Character No.:** 675488-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	230,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$1,495

**Character Title:** Other Financing Sources

**Character No.:** 675488-46

**4625 OT - W/in Special Dist - BOS**

The water sales revenue is calculated to meet the revenue requirements of the Storage Facilities portion of the Water Revenue Bonds, Series 2006 A. The revenue is required to meet 115% of the annual debt service costs of the bonds. The revenue is initially deposited in the Water Transmission Agency Fund and later transferred to the Storage Facilities Revenue Bond, pursuant to the terms of the Sixth Supplemental Ordinance.

Annual Debt Service Requirements

Principal	\$137,368
Interest	\$246,830
Fiscal Agent Fees	\$2,500
Debt Issuance Costs	\$0
Discount / Bonds	\$0
Total Debt Service	386,698
Revenue Requirement (115% x Debt Service)	\$444,704

**Character:** Services and Supplies

**Character No.:** 675488-60

**6635 Fiscal Agent Fees**

Fiscal Agent Fees are paid to trustee for servicing bonds.

**6640 Debt Issuance Costs**

Amounts are based on bond amortization schedules. Bond costs of issuance are amortized over the life of the bonds. No cash expenditure of funds is required.

**Character:** Other Charges

**Character No.:** 675488-75

**7920 Interest**

Water Revenue Bonds - Series 2006A, per the bond amortization schedule.

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Storage Facilities Revenue Bonds 2006

**Character:** Other Financing Uses **Character No.:** 675488-86

	SAP Order No.	Total
<b>8625 Operating Transfer Out</b>		
This item is used to transfer bond proceeds to Storage Facilities Fund to finance construction of projects.		
	300,000	
Petaluma Aq Relocation - Kastania	7570	
Kawana-Ralphine-SBS Pipeline Study	3763	
Ralphine Tanks Flow Thru Conversion	3763	

**Character:** Administrative Account **Character No.:** 675488-92

<b>9200 ENT - Principal</b>		
This account reflects the principal payment for the Water Revenue Bond, Series 2006A. The request for the fiscal year is based on the projected amortization schedule.		
<b>9209 ENT - Principal - Clearing</b>		
This is the clearing account for the sub-object 9200, ENT - Principal.		

**FY 2013-14 BUDGET**

**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission

**Section:** Storage Facilities Revenue Bonds 2006

**Index No.:** 675488

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$467,254</b>	<b>\$535,892</b>	<b>\$353,224</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	453,983	454,029	446,198
Expenditures - (Decrease) fund balance	(260,896)	(614,427)	(549,330)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	<u>193,087</u>	<u>(160,398)</u>	<u>(103,132)</u>
<b>Adjustments to Reserves/Encumbrances:</b>			
Principal Payments on Bonds	(126,802)	(132,085)	(137,368)
Amortization of Bond Discount/Premium	(2,223)	0	0
Amortization of Bond Issuance Costs	4,575	109,815	0
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	<u>(124,449)</u>	<u>(22,270)</u>	<u>(137,368)</u>
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>535,892</b>	<b>353,224</b>	<b>112,724</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$68,638</b>	<b>(\$182,668)</b>	<b>(\$240,500)</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b><u>7/1/2011</u></b>	<b><u>7/1/2012</u></b>	
Cash	\$465,084	\$533,722	
Cash with fiscal agent/trustee	637,268	640,121	
Less Restricted cash with trustee	(382,558)	(382,558)	
Matured Bonds Payable	(121,518)	(126,801)	
Bonds Payable - current	0	0	
Interest Payable	(131,022)	(128,592)	
<b>Total Beginning Fund Balance</b>	<u>\$467,254</u>	<u>\$535,892</u>	

**FY 2013-14 BUDGET  
SUMMARY OF REVENUES AND EXPENDITURES**

Section Title: COMMON FACILITIES REVENUE BONDS 2012  
Section/Index No: 675454

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b>USE OF MONEY</b>				
1700 Interest on Pooled Cash	\$1,000	\$1,950	\$950	95.00%
1701 Interest Earned	0	0	0	N/A
<b>Subtotal Use of Money</b>	<b>\$1,000</b>	<b>\$1,950</b>	<b>\$950</b>	<b>95.00%</b>
<b>ADMINISTRATIVE CONTROL ACCOUNT</b>				
4200 Long Term Debt Proceeds	\$0	\$0	\$0	N/A
4209 Long Term Debt Clearing	0	0	0	N/A
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>OTHER FINANCING SOURCES</b>				
4625 OT - W/in Special Dist - BOS	\$500,180	\$433,246	(\$66,934)	(13.38%)
<b>Subtotal Miscellaneous Revenues</b>	<b>\$500,180</b>	<b>\$433,246</b>	<b>(\$66,934)</b>	<b>(13.38%)</b>
<b>TOTAL REVENUES</b>	<b>\$501,180</b>	<b>\$435,196</b>	<b>(\$65,984)</b>	<b>(13.17%)</b>
<b>EXPENDITURES:</b>				
<b>SERVICES AND SUPPLIES</b>				
6635 Fiscal Agent Fees	\$1,600	\$2,500	\$900	56.25%
6640 Debt Issuance Costs	3,951	0	(3,951)	(100.00%)
<b>Subtotal Services and Supplies</b>	<b>\$5,551</b>	<b>\$2,500</b>	<b>(\$3,051)</b>	<b>(54.96%)</b>
<b>OTHER CHARGES</b>				
7920 Long-Term Interest	\$255,148	\$170,021	(\$85,127)	(33.36%)
7923 Discount / Bonds	\$446	\$0	(\$446)	(100.00%)
<b>Subtotal Other Charges</b>	<b>\$255,594</b>	<b>\$170,021</b>	<b>(\$85,573)</b>	<b>(33.48%)</b>
<b>OTHER FINANCING USES</b>				
8625 OT - W/in Special Dist - BOS	\$400,000	\$470,000	\$70,000	17.50%
<b>Subtotal Other Financing Uses</b>	<b>\$400,000</b>	<b>\$470,000</b>	<b>\$70,000</b>	<b>17.50%</b>
<b>ADMINISTRATIVE CONTROL ACCOUNT</b>				
9200 Ent - Principal	\$173,794	\$204,215	\$30,421	17.50%
9209 Ent - Principal Clearing	(173,794)	(204,215)	(30,421)	17.50%
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$661,145</b>	<b>\$642,521</b>	<b>(\$18,624)</b>	<b>(2.82%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>\$159,965</b>	<b>\$207,325</b>	<b>\$47,360</b>	<b>29.61%</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Common Facilities Revenue Bonds 2012

**Character Title:** Use of Money and Property **Character No.:** 675454-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	300,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$1,950

**Character Title:** Other Financing Sources **Character No.:** 675454-46

**4625 OT - W/in Special Dist - BOS**

The water sales revenue is calculated to meet the revenue requirements of the Common Facilities portion of the Water Revenue Bonds, Series 2012 A. The revenue is required to meet 115% of the annual debt service costs of the bonds. The revenue is initially deposited in the Water Transmission Agency Fund and later transferred to the Common Facilities Revenue Bond, pursuant to the terms of the Sixth Supplemental Ordinance.

Annual Debt Service Requirements

Principal	\$204,215
Interest	170,021
Fiscal Agent Fees	2,500
Debt Issuance Costs	0
Discount / Bonds	0
 Total Debt Service	376,736
 Revenue Requirement (115% x Debt Service)	\$433,246

**Character:** Services and Supplies **Character No.:** 675454-60

**6635 Fiscal Agent Fees**

Fiscal Agent Fees are paid to trustee for servicing bonds.

**6640 Debt Issuance Costs**

Amounts are based on bond amortization schedules. Bond costs of issuance are amortized over the life of the bonds. No cash expenditure of funds is required.

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Common Facilities Revenue Bonds 2012

**Character:** Other Charges **Character No.:** 675454-75

**7920 Interest**

Water Revenue Bonds - Series 2012A, per the bond amortization schedule.

**7923 Discount / Bonds**

Amounts are based on bond amortization schedules. Bond discounts are amortized over the life of the bonds. No cash expenditure of funds is required.

**Character:** Other Financing Uses **Character No.:** 675454-86

SAP Order No.

**8625 Operating Transfer Out**

This item is used to transfer bond proceeds to Common Facilities Fund to finance construction of projects.

Billing Meter Replacement & measure chambers for OMNI meters	7560	700,000
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**Character:** Administrative Account **Character No.:** 675454-92

**9200 ENT - Principal**

This account reflects the principal payment for the Water Revenue Bond, Series 2012A. The request for the fiscal year is based on the projected amortization schedule.

**9209 ENT - Principal - Clearing**

This is the clearing account for the sub-object 9200, ENT - Principal.

**FY 2013-14 BUDGET**

**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission

**Section:** Common Facilities 2012 A Revenue Bonds

**Index No.:** 675454

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$294,384</b>	<b>\$367,559</b>	<b>\$497,782</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	503,228	501,418	435,196
Expenditures - (Decrease) fund balance	(267,173)	(354,106)	(642,521)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	<u>236,055</u>	<u>147,312</u>	<u>(207,325)</u>
<b>Adjustments to Reserves/Encumbrances:</b>			
Long Term Debt proceeds		0	
Increase/(Decrease) in Bonds Payable	(6,517)	0	0
Principal Payments on Bonds	(160,759)	(204,215)	(204,215)
Amortization of Bond Discount	446	446	0
Amortization of Bond Issuance Costs	3,951	186,680	0
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	<u>(162,879)</u>	<u>(17,089)</u>	<u>(204,215)</u>
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>367,560</b>	<b>497,782</b>	<b>86,242</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$73,176</b>	<b>\$130,223</b>	<b>(\$411,540)</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b><u>7/1/2011</u></b>	<b><u>7/1/2012</u></b>	
Cash	\$297,871	\$371,038	
Cash with Fiscal Agent/Trustee	720,713	832,648	
Restricted Cash with Trustee	(429,307)	(429,307)	
Other current liability (good faith on refunding)		(108,625)	
Bonds Payable - Current	(160,759)	(167,276)	
Interest Payable	(134,134)	(130,919)	
<b>Total Beginning Fund Balance</b>	<u>\$294,384</u>	<u>\$367,559</u>	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** COMMON FACILITIES REVENUE BONDS 2006  
**Section/Index No:** 675496

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$750	\$975	\$225	30.00%
1701 Interest Earned	-	-	0	N/A
<b>Subtotal Use of Money</b>	<b>\$750</b>	<b>\$975</b>	<b>\$225</b>	<b>30.00%</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
4200 Long Term Debt Proceeds	\$0	\$0	\$0	N/A
4209 Long Term Debt Clearing	-	-	0	N/A
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$401,774	\$397,308	(\$4,466)	(1.11%)
<b>Subtotal Miscellaneous Revenues</b>	<b>\$401,774</b>	<b>\$397,308</b>	<b>(\$4,466)</b>	<b>(1.11%)</b>
<b>TOTAL REVENUES</b>	<b>\$402,524</b>	<b>\$398,283</b>	<b>(\$4,241)</b>	<b>(1.05%)</b>
<b>EXPENDITURES:</b>				
<b><u>SERVICES AND SUPPLIES</u></b>				
6635 Fiscal Agent Fees	\$2,300	\$2,500	\$200	8.70%
6640 Debt Issuance Costs	4,085	-	(4,085)	(100.00%)
<b>Subtotal Services and Supplies</b>	<b>\$6,385</b>	<b>\$2,500</b>	<b>(\$3,885)</b>	<b>(60.85%)</b>
<b><u>OTHER CHARGES</u></b>				
7920 Long-Term Interest	\$225,068	\$220,352	(\$4,716)	(2.10%)
7923 Discount / Bonds	\$0	\$0	\$0	N/A
<b>Subtotal Other Charges</b>	<b>\$225,068</b>	<b>\$220,352</b>	<b>(\$4,716)</b>	<b>(2.10%)</b>
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$250,000	\$310,000	\$60,000	24.00%
<b>Subtotal Other Financing Uses</b>	<b>\$250,000</b>	<b>\$310,000</b>	<b>\$60,000</b>	<b>24.00%</b>
<b><u>ADMINISTRATIVE CONTROL ACCOUNT</u></b>				
9200 Ent - Principal	\$117,916	\$122,633	\$4,717	4.00%
9209 Ent - Principal Clearing	(117,916)	(122,633)	(4,717)	4.00%
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$481,453</b>	<b>\$532,852</b>	<b>\$51,399</b>	<b>10.68%</b>
<b>TOTAL NET COST</b>	<b>\$78,929</b>	<b>\$134,569</b>	<b>\$55,640</b>	<b>70.49%</b>
<i>(Expenditures Minus Revenues)</i>				

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Common Facilities Revenue Bonds 2006

**Character Title:** Use of Money and Property

**Character No.:** 675496-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	150,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$975

**Character Title:** Other Financing Sources

**Character No.:** 675496-46

**4625 OT - W/in Special Dist - BOS**

The water sales revenue is calculated to meet the revenue requirements of the Common Facilities portion of the Water Revenue Bonds, Series 2006A. The revenue is required to meet 115% of the annual debt service costs of the bonds. The revenue is initially deposited in the Water Transmission Agency Fund and later transferred to the Common Facilities Revenue Bond, pursuant to the terms of the Sixth Supplemental Ordinance.

Annual Debt Service Requirements

Principal	\$122,633
Interest	\$220,352
Fiscal Agent Fees	\$2,500
Debt Issuance Costs	\$0
Discount / Bonds	\$0
Total Debt Service	345,485
Revenue Requirement (115% x Debt Service)	\$397,308

**Character:** Services and Supplies

**Character No.:** 675496-60

**6635 Fiscal Agent Fees**

Fiscal Agent Fees are paid to trustee for servicing bonds.

**6640 Debt Issuance Costs**

Amounts are based on bond amortization schedules. Bond discounts and costs of issuance are amortized over the life of the bonds. No cash expenditure of funds is required.

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Common Facilities Revenue Bonds 2006

**Character:** Other Charges **Character No.:** 675496-75

**7920 Interest**

Water Revenue Bonds - Series 2006A, per the bond amortization schedule.

**7923 Discount / Bonds**

Amounts are based on bond amortization schedules. Bond discounts and cost of issuance are amortized over the life of the bonds. No cash expenditure of funds is required.

**Character:** Other Financing Uses **Character No.:** 675496-86

**8625 Operating Transfer Out**

This item is used to transfer bond proceeds to Common Facilities Fund to finance construction of projects.

Mirabel Surge Control		200,000
Isolation Valves	(partial)	60,150

**Character:** Administrative Account **Character No.:** 675496-92

**9200 ENT - Principal**

This account reflects the principal payment for the Water Revenue Bond, Series 2006A. The request for the forthcoming fiscal year is based on the projected amortization schedule.

**9209 ENT - Principal - Clearing**

This is the clearing account for the sub-object 9200, ENT - Principal.

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
Section: Common Facilities Revenue Bonds 2006  
Index No.: 675496

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$177,370</b>	<b>\$236,562</b>	<b>\$294,146</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	403,223	401,950	398,283
Expenditures - (Decrease) fund balance	(232,933)	(324,482)	(532,852)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	170,291	77,468	(134,569)
<b>Adjustments to Reserves/Encumbrances:</b>			
Long Term Debt proceeds			
Increase/(Decrease) in Bonds Payable	0		0
Principal Payments on Bonds	(113,199)	(117,916)	(122,633)
Amortization of Bond Discount	0	0	0
Amortization of Bond Issuance Costs	4,085	98,032	0
Amortization of Bond Premium	(1,984)	0	
Post-audit Adjustments - Closing COI	0		
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	(111,098)	(19,884)	(122,633)
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>236,563</b>	<b>294,146</b>	<b>36,944</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$59,193</b>	<b>\$57,584</b>	<b>(\$257,202)</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>			
	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$175,440	\$234,633	
Cash with Fiscal Agent/Trustee	568,900	571,447	
Restricted Cash with Trustee	(341,521)	(341,521)	
Bonds Payable - current	0	0	
Matured Bonds Payable	(108,482)	(113,199)	
Interest Payable	(116,967)	(114,798)	
<b>Total Beginning Fund Balance</b>	<b>\$177,370</b>	<b>\$236,562</b>	

**FY 2013-14 BUDGET  
SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** State Loan Debt Service Fund  
**Section/Index No:** 675439

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b>USE OF MONEY</b>				
1700 Interest on Pooled Cash	\$3,500	\$4,550	\$1,050	30.00%
1701 Interest Earned	0	0	0	N/A
<b>Subtotal Use of Money</b>	<b>\$3,500</b>	<b>\$4,550</b>	<b>\$1,050</b>	<b>30.00%</b>
<b>OTHER FINANCING SOURCES</b>				
4625 OT - W/in Special Dist - BOS	\$1,196,268	\$1,196,268	(\$0)	(0.00%)
<b>Subtotal Miscellaneous Revenues</b>	<b>\$1,196,268</b>	<b>\$1,196,268</b>	<b>(\$0)</b>	<b>(0.00%)</b>
<b>TOTAL REVENUES</b>	<b>\$1,199,768</b>	<b>\$1,200,818</b>	<b>\$1,050</b>	<b>0.09%</b>
<b>EXPENDITURES:</b>				
<b>OTHER CHARGES</b>				
7930 Interest Long-Term	\$368,200	\$349,296	(\$18,904)	(5.13%)
<b>Subtotal Other Charges</b>	<b>\$368,200</b>	<b>\$349,296</b>	<b>(\$18,904)</b>	<b>(5.13%)</b>
<b>OTHER FINANCING USES</b>				
8625 OT - W/in Special Dist - BOS	\$0	\$0	\$0	N/A
<b>Subtotal Other Financing Uses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>ADMINISTRATIVE CONTROL ACCOUNT</b>				
9200 Ent - Principal	\$672,033	\$690,937	\$18,904	2.81%
9209 Ent - Principal Clearing	(672,033)	(690,937)	(18,904)	2.81%
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$368,200</b>	<b>\$349,296</b>	<b>(\$18,904)</b>	<b>(5.13%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$831,568)</b>	<b>(\$851,522)</b>	<b>(\$19,954)</b>	<b>2.40%</b>

**FY 2013-14 BUDGET**

**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** State Loan Debt Service Fund

**Character Title:** Use of Money and Property

**Character No.:** 675439-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	700,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	<u>\$4,550</u>

**Character Title:** Other Financing Sources

**Character No.:** 675439-46

**4625 OT - W/in Special Dist - BOS**

The construction of the Collector No.6 was funded with a state revolving fund (SRF) loan from the State Department of Water Resources (SDWSRF Contract SRF 1997CX107, Project # 4910020-04) for \$15.8M. The term of the SRF is 20 years. Interest payments began 6/27/02, and principal and reserve payments began in FY06/07. The water sales revenue is calculated to meet 115% of the annual debt service costs of the SRF loan. The revenue is initially deposited in the Water Transmission Agency Fund and later transferred to the State Loan Debt Service fund, pursuant to the terms of the Sixth Supplemental Ordinance.

Annual Debt Service Requirements

Principal	<b>\$690,937</b>
Interest	<b>349,296</b>
	<hr/>
Total Debt Service	1,040,233
Revenue Requirement (115% x Debt Service)	<u><u>\$1,196,268</u></u>

**Character Title:** Other Charges

**Character No.:** 675439-75

**7930 Interest - Long Term Debt**

This account records the interest expense for the SRF loan per the amortization schedule.

**Character Title:** Other Financing Uses

**Character No.:** 675439-86

**8625 - Operating Transfer Out**

This item is used to transfer loan proceeds to Common Facilities Fund to finance construction of projects.

**Character:** Administrative Account

**Character No.:** 675439-92

**9200 ENT - Principal**

This account reflects the principal payment for the State Revolving Fund Loan. The request for the forthcoming fiscal year is based on the amortization schedule.

**9209 ENT - Principal - Clearing**

This is the clearing account for the sub-object 9200, ENT - Principal.

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
 Section: State Loan Debt Service Fund  
 Index No.: 675439

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
Available for Budgeting (See Detailed Components Below)	\$247,824	\$392,782	\$552,317
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	1,203,576	1,199,768	1,200,818
Expenditures - (Decrease) fund balance	(386,586)	(368,200)	(349,296)
<b>Net Surplus or Deficit - Increase/(Decrease) to fund balance</b>	816,990	831,568	851,522
<b>Adjustments to Reserves/Encumbrances:</b>			
Change in Due to Other Govts	(18,386)		
Principal Payments on SRF Loan	(653,646)	(672,033)	(690,937)
<b>Net Adjustment - Increase/(Decrease) to Fund Balance</b>	(672,032)	(672,033)	(690,937)
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
Available for Budgeting	392,782	552,317	712,902
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b>			
<i>(Difference between Beginning and Ending Balance)</i>	\$144,958	\$159,535	\$160,585
<b><u>Fund Balance Components at Beginning of FY</u></b>			
Cash	\$901,470	\$1,064,814	
Due to other Governments	(\$653,646)	(\$672,032)	
<b>Total Beginning Fund Balance</b>	\$247,824	\$392,782	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** State Loan Reserve Fund  
**Section/Index No:** 675447

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$4,500	\$6,500	\$2,000	44.44%
1701 Interest Earned	0	0	0	N/A
<b>Subtotal Use of Money</b>	<b>\$4,500</b>	<b>\$6,500</b>	<b>\$2,000</b>	<b>44.44%</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$119,627	\$0	(\$119,627)	(100.00%)
<b>Subtotal Other Financing Sources</b>	<b>\$119,627</b>	<b>\$0</b>	<b>(\$119,627)</b>	<b>(100.00%)</b>
<b>TOTAL REVENUES</b>	<b>\$124,127</b>	<b>\$6,500</b>	<b>(\$117,627)</b>	<b>(94.76%)</b>
<b>EXPENDITURES:</b>				
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$0	\$0	\$0	N/A
<b>Subtotal Other Financing Uses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$124,127)</b>	<b>(\$6,500)</b>	<b>\$117,627</b>	<b>(94.76%)</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** State Loan Reserve Fund

**Character Title:** Use of Money and Property

**Character No.:** 675447-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	1,000,000
Projected Interest Rate	0.65%
	_____
Projected/Planned Interest on Pooled Cash	\$6,500

**Character Title:** Other Financing Sources

**Character No.:** 675447-46

**4625 OT - W/in Special Dist - BOS**

The construction of the Collector No.6 was funded with a state revolving fund (SRF) loan from the State Department of Water Resources (SDWSRF Contract SRF 1997CX107, Project # 4910020-04) for \$15.8M. The term of the SRF is 20 years. Interest payments began 6/27/02, and principal and reserve payments began in FY06/07. The terms of the contract require the Agency to accumulate a reserve fund equal to two semiannual payments during the first 10 years of the loan contract. The water sales revenue is calculated to meet the 115% of the annual reserve amount required. The revenue is initially deposited in the Water Transmission Agency Fund and later transferred to the State Loan Reserve fund, pursuant to the terms of the Sixth Supplemental Ordinance.

Annual Debt Service Requirements

Reserve Amount	\$0
	_____
Revenue Requirement (115% x Reserve Amt.)	\$0
	=====

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
 Section: State Loan Reserve Fund  
 Index No.: 675447

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance Available for Budgeting</b> (See Detailed Components Below)	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	128,587	124,127	6,500
Expenditures - (Decrease) fund balance	0	0	0
	<hr/>	<hr/>	<hr/>
<b>Net Surplus or Deficit - Increase/(Decrease) to fund balance</b>	128,587	124,127	6,500
<b>Adjustments to Reserves/Encumbrances:</b>			
Increase in Reserve	(128,587)	(124,127)	(6,500)
	<hr/>	<hr/>	<hr/>
<b>Net Adjustment - Increase/(Decrease) to Fund Balance</b>	(128,587)	(124,127)	(6,500)
	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance Available for Budgeting</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$857,896	\$983,142	\$1,102,642
Reserve	(857,896)	(983,142)	
<b>Total Beginning Fund Balance</b>	<hr/> \$0	<hr/> \$0	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title: STORAGE FACILITIES**  
**Section/Index No: 675405**

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$1,250	\$1,203	(\$48)	(3.80%)
<b>Subtotal Use of Money</b>	<b>\$1,250</b>	<b>\$1,203</b>	<b>(\$48)</b>	<b>(3.80%)</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$740,000	\$590,000	(\$150,000)	(20.27%)
<b>Subtotal Other Financing Sources</b>	<b>\$740,000</b>	<b>\$590,000</b>	<b>(\$150,000)</b>	<b>(20.27%)</b>
<b>TOTAL REVENUES</b>	<b>\$741,250</b>	<b>\$591,203</b>	<b>(\$150,048)</b>	<b>(20.24%)</b>
<b>EXPENDITURES:</b>				
<b><u>FIXED ASSETS</u></b>				
8543 Petaluma Aqueduct Relocation	300,000	240,000	(60,000)	(20.00%)
9162 Kawana to Sonoma Booster station alignment study	350,000	450,000	100,000	28.57%
9168 Kawana Springs Tank # 2	0	0	-	N/A
9171 Purchase of Rights to Oakmont Pipeline	0	0	0	N/A
<b>Subtotal Fixed Assets</b>	<b>\$740,000</b>	<b>\$690,000</b>	<b>(\$50,000)</b>	<b>(6.76%)</b>
<b>TOTAL EXPENDITURES</b>	<b>\$740,000</b>	<b>\$690,000</b>	<b>(\$50,000)</b>	<b>(6.76%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$1,250)</b>	<b>\$98,798</b>	<b>\$100,048</b>	<b>(8003.80%)</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Storage Facilities

**Character Title:** Use of Money and Property

**Character No.:** 675405-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	185,000
Projected Interest Rate	0.65%
	<hr/>
Projected/Planned Interest on Pooled Cash	\$1,203

**Character Title:** Other Financing Sources

**Character No.:** 675405-46

**4625 OT - W/in Special Dist - BOS**

This item records transfers of funds into the Storage Facilities fund to provide for planned capital projects and to restore fund balance.

Agreement.			SAP Order No.
Storage Facilities Revenue Bond 2012A	\$290,000	Kawana-Ralphine-SBS Pipeline St	3763
Storage Facilities Revenue Bond 2006A	\$300,000	Other projects below	7393, 7570

**Character:** Fixed Assets

**Character No.:** 675405-85

**8510 Buildings/Improvements**

DESCRIPTION	SAP Order No.	Requested FY 13-14
<b>8510 Total</b>		<b>0</b>

**8543 Petaluma Aqueduct**

DESCRIPTION	SAP Order No.	Requested FY 13-14
Petaluma Aq Relocation - Kastania (Cal-Trans required)	7393	240,000
<b>8543 Total</b>		<b>240,000</b>

**9162 Kawana to Sonoma Booster station alignment study**

DESCRIPTION	SAP Order No.	Requested FY 13-14
Kawana-Ralphine-SBS Pipeline Study	3763	150,000
Ralphine Tanks Flow Thru Conversion		200,000
24" Oakmont Pipeline Replacement	TBD	100,000
<b>9162 Total</b>		<b>450,000</b>

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
 Section: Storage Facilities  
 Index No.: 675405

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$584,647</b>	<b>\$32,682</b>	<b>\$183,322</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	3,814	600,640	691,203
Expenditures - (Decrease) fund balance	444,478	450,000	690,000
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	(440,664)	150,640	1,203
<b>Adjustments to Reserves/Encumbrances:</b>			
Outstanding Encumbrances - Net Change	(90,254)	0	
Long Term Debt proceeds	0	0	
Capitalized Interest	(21,048)	0	
move project CIP balance	0		
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	(111,302)	0	0
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>32,681</b>	<b>183,322</b>	<b>184,525</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>(\$551,966)</b>	<b>\$150,640</b>	<b>\$1,203</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$609,944	\$216,161	
Accounts Receivable	0	0	
Accounts Payable	(297)	(68,225)	
Contract Retention Payable	0	0	
Encumbrances	(25,000)	(115,254)	
<b>Total Beginning Fund Balance</b>	<b>\$584,647</b>	<b>\$32,682</b>	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title: PIPELINE FACILITIES**  
**Section/Index No: 675413**

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
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**REVENUES:**

**USE OF MONEY**

1700 Interest on Pooled Cash	\$2,500	\$2,730	\$230	9.20%
<b>Subtotal Use of Money</b>	<b>\$2,500</b>	<b>\$2,730</b>	<b>\$230</b>	<b>9.20%</b>

**INTERGOVERNMENTAL REVENUE**

2852 Federal Grants	\$0	\$0	\$0	N/A
<b>Subtotal Intergovernmental Revenue</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>

**MISCELLANEOUS REVENUE**

4102 Reimbursements	\$0	\$0	\$0	N/A
<b>Subtotal Miscellaneous Revenue</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>

**OTHER FINANCING SOURCES**

4625 OT - W/in Special Dist - BOS	\$2,534,000	\$260,000	(\$2,274,000)	(89.74%)
<b>Subtotal Other Financing Sources</b>	<b>\$2,534,000</b>	<b>\$260,000</b>	<b>(\$2,274,000)</b>	<b>(89.74%)</b>

<b>TOTAL REVENUES</b>	<b>\$2,536,500</b>	<b>\$262,730</b>	<b>(\$2,273,770)</b>	<b>(89.64%)</b>
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**EXPENDITURES:**

**FIXED ASSETS**

9106 Santa Rosa Aqueduct Sections & LHMP	\$825,000	\$0	(\$825,000)	(100.00%)
9128 Local Hazard Mitigation / Rogers Creel Fault	\$1,094,000	\$60,000	(\$1,034,000)	(94.52%)
9154 Petaluma Aqueduct Relocation -Kastania and LHMP	\$290,000	\$0	(\$290,000)	(100.00%)
9192 Sonoma Pipeline Assessment Study/ LHMP	\$325,000	\$350,000	\$25,000	7.69%
9482 Amort WIP Outlay	\$0	\$0	\$0	N/A
<b>Subtotal Fixed Assets</b>	<b>\$2,534,000</b>	<b>\$410,000</b>	<b>(\$2,124,000)</b>	<b>(83.82%)</b>

<b>TOTAL EXPENDITURES</b>	<b>\$2,534,000</b>	<b>\$410,000</b>	<b>(\$2,124,000)</b>	<b>(83.82%)</b>
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<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$2,500)</b>	<b>\$147,270</b>	<b>\$149,770</b>	<b>(5990.80%)</b>
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**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division: Sonoma County Water Agency - Water Transmission**

**Section Title: Pipeline Facilities**

**Character Title: Use of Money and Property** **Character No.: 675413-17**

<b>1700 Interest on Pooled Cash</b>		
This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.		
Estimated Average Cash Balance	420,000	
Projected Interest Rate	0.65%	
Projected/Planned Interest on Pooled Cash	\$2,730	

**Character Title: Intergovernmental Revenue** **Character No.: 675413-20**

<b>2852 Federal Grant</b>		
This account records the receipt of Federally awarded grants		
Rodgers Creek Fault Crossing - FEMA Grant	SAP Order No. 7380	\$0

**Character Title: Miscellaneous Revenues** **Character No.: 675413-40**

<b>4102 Donations/Reimbursements</b>		
Reimbursement from North Marin Water District for the South Transmission System Pipeline. Per the Restructured Agreement for Water Supply, NMWD reimburses SCWA 13.14% of the expenses for that project. SCWA invoices NMWD quarterly.		
	SAP Order No. 3554	\$0

**Character Title: Other Financing Sources** **Character No.: 675413-46**

<b>4625 OT - W/in Special Dist - BOS</b>		
This item records transfers of funds into the Pipeline Facilities fund to provide for planned capital projects and to restore fund balance.		
Transfer from Common Facilities for South Transmission System Pipeline	SAP Order No. 3554	-
Transfer from Santa Rosa Aqueduct Fund 675207		60,000
Transfer from Petaluma Aqueduct Fund 675215		-
Transfer from Sonoma Aqueduct Fund 675231		-
Transfer from Sonoma Revenue Bond 675470		200,000
		<b>\$ 260,000</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

Department - Division: Sonoma County Water Agency - Water Transmission

Section Title: Pipeline Facilities

Character: Fixed Assets

Character No.: 675413-85

	<u>SAP Order No.</u>	
<b>9106 Santa Rosa Aqueduct</b>		\$ -
<b>9128 Local Hazard Mitigation Projects</b>		
SR Aqueduct Sonoma Avenue (Rodgers Creek Fault)	7380	\$ 60,000
		<b>\$ 60,000</b>
<b>9154 Petaluma Aqueduct</b>		\$ -
<b>9192 Sonoma Aqueduct</b>		
SBS Electrical Upgrade and Pumping Reliability	7617	\$ 200,000
SBS Storage Building	TBD	\$ 150,000
		<b>\$ 350,000</b>

**FY 2013-14 BUDGET  
STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission

**Section:** Pipeline Facilities

**Index No.:** 675413

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance Available for Budgeting</b> (See Detailed Components Below)	<b>\$628,060</b>	<b>\$557,911</b>	<b>\$559,311</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	555,358	2,213,400	262,730
Expenditures - (Decrease) fund balance	784,944	2,212,000	410,000
<b>Net Surplus or Deficit - Increase/(Decrease) to fund balance</b>	(229,586)	1,400	(147,270)
<b>Adjustments to Reserves/Encumbrances:</b>			
Outstanding Encumbrances - Net Change	302,054	0	
Capitalized Interest	(142,617)	0	
Move project CIP Balances	0		
<b>Net Adjustment - Increase/(Decrease) to Fund Balance</b>	159,437	0	0
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance Available for Budgeting</b>	<b>557,911</b>	<b>559,311</b>	<b>412,041</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>(\$70,149)</b>	<b>\$1,400</b>	<b>(\$147,270)</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$989,393	\$423,039	
Accounts Receivable	\$24,606	\$235,014	
Accounts Payable	(15,625)	(31,882)	
Retention Payable	0		
Encumbrances	(370,314)	(68,260)	
<b>Total Beginning Fund Balance</b>	<b>\$628,060</b>	<b>\$557,911</b>	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title: COMMON FACILITIES**  
**Section/Index No: 675421**

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b>USE OF MONEY</b>				
1700 Interest on Pooled Cash	(\$1,000)	\$195	\$1,195	(119.50%)
<b>Subtotal Use of Money</b>	<b>(\$1,000)</b>	<b>\$195</b>	<b>\$1,195</b>	<b>(119.50%)</b>
<b>MISCELLANEOUS REVENUES</b>				
4040 Miscellaneous Revenue	\$0	\$0	\$0	N/A
4102 Donations/Reimbursements	\$300,000	\$350,000	\$50,000	16.67%
4109 Outdate/Cancel Warrants	\$0	\$0	\$0	N/A
4303 State Grants	\$0	\$0	\$0	N/A
<b>Subtotal Miscellaneous Revenues</b>	<b>\$300,000</b>	<b>\$350,000</b>	<b>\$50,000</b>	<b>16.67%</b>
<b>ADMINISTRATIVE CONTROL</b>				
4210 Advances	\$8,200,000	\$400,000	(\$7,800,000)	(95.12%)
4219 Advances - Clearing	(8,200,000)	(400,000)	7,800,000	(95.12%)
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>OTHER FINANCING SOURCES</b>				
4625 OT - W/in Special Dist - BOS	\$2,584,508	\$5,285,708	\$2,701,200	104.52%
<b>Subtotal Other Financing Sources</b>	<b>\$2,584,508</b>	<b>\$5,285,708</b>	<b>\$2,701,200</b>	<b>104.52%</b>
<b>TOTAL REVENUES</b>	<b>\$2,883,508</b>	<b>\$5,635,903</b>	<b>\$2,752,395</b>	<b>95.45%</b>

**EXPENDITURES:**

**OTHER CHARGES**

7920 Interest Payment	\$0	\$250,656	\$250,656	N/A
<b>Subtotal Other Charges</b>	<b>\$0</b>	<b>\$250,656</b>	<b>\$250,656</b>	<b>N/A</b>

**FIXED ASSETS**

8510 Buildings/Improvements	\$1,825,000	\$1,250,000	(\$575,000)	(31.51%)
8515 Engineering Costs	\$0	\$0	\$0	N/A
8517 Administration	\$0	\$0	\$0	N/A
8528 Mirabel Generator Replacement Project	\$0	\$0	\$0	N/A
8529 Isolation Valves & Meters	\$133,000	\$320,000	\$187,000	140.60%
8552 Forestville Storage Tank 1.14 (c) and (d)	\$0	\$0	\$0	N/A
9105 Mirabel Fish Screen Replacement	6,309,000	2,950,000	(\$3,359,000)	(53.24%)
9107 Air Valves	541,000	290,000	(\$251,000)	(46.40%)
9108 Aquifer Sediments Geochemical Study	0	0	\$0	N/A
9109 Surface Water/Groundwater Model HEC	0	0	\$0	N/A
9110 Surge Protection	0	0	\$0	N/A
9112 Mirabel Site Improvements	0	0	\$0	N/A
9113 Wohler Site Improvements	0	0	\$0	N/A
9114 River Well Field Development	0	0	\$0	N/A
9128 LHMP	0	0	\$0	N/A
9130 Transmission System Expansion	0	0	\$0	N/A
9136 Production Wells Improv.	1,179,000	800,000	(\$379,000)	(32.15%)
9130 Trans System Exp	0	0	\$0	N/A
9136 Production Wells Impr.	0	0	\$0	N/A
9138 River Road Site Improvements	0	0	\$0	N/A
9152 Pump & Collector Capacity	0	0	\$0	N/A
9159 Dry Creek Water Supply	0	0	\$0	N/A
9163 Eldridge-Madrone Pipeline	0	0	\$0	N/A
9164 Booster Stations Backup Power	0	0	\$0	N/A
9170 Riverfront Park Reclamation	0	0	\$0	N/A
9172 Water Education Facility (Moved to Water Conservator	0	0	\$0	N/A
9175 Wohler Mirabel 12KV	0	0	\$0	N/A
9176 Mirabel - Cotati Pipeline	0	0	\$0	N/A
9177 Drycreek Bypass Pipeline	0	0	\$0	N/A
9193 Auto Meter Infrastructure	0	0	\$0	N/A
9480 Intangible Assets	0	0	\$0	N/A
9482 Amort WIP Outlay	0	0	\$0	N/A
<b>Subtotal Fixed Assets</b>	<b>\$9,987,000</b>	<b>\$5,610,000</b>	<b>(\$4,377,000)</b>	<b>(43.83%)</b>

**OTHER FINANCING USES**

8625 OT - W/in Special Dist - BOS	\$0	\$0	\$0	N/A
<b>Subtotal Other Financing Uses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>

**ADMINISTRATIVE CONTROL ACCOUNT**

9210 Advances	\$145,232	\$117,763	(\$27,469)	(18.91%)
9219 Advances - Clearing	(\$145,232)	(\$117,763)	27,469	(18.91%)
<b>Subtotal Administrative Control</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>

<b>TOTAL EXPENDITURES</b>	<b>\$9,987,000</b>	<b>\$5,860,656</b>	<b>(\$4,126,344)</b>	<b>(41.32%)</b>
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<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>\$7,103,492</b>	<b>\$224,753</b>	<b>(\$6,878,739)</b>	<b>(96.84%)</b>
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**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Common Facilities

**Character Title:** Use of Money and Property

**Character No.:** 675421-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	30,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$195

**Character Title:** Miscellaneous Revenue

**Character No.:** 675421-40

**4102 Donations/Reimbursements**

Contribution toward Westside Multipurpose Facility from Agency GF	350,000
Total	<b>350,000</b>

**4304 Federal Grants**

This account records the receipt of Federally awarded grants

**Character Title:** Administrative Control

**Character No.:** 675421-42

**4200 ENT - LTD Proceeds**

The Agency will procure financing in FY 12/13 from the Santa Rosa AQ Capital Fund and Petaluma AQ Capital Fund for the Mirabel Fish Screen Replacement and Agency Westside Facility.

Santa Rosa Aqueduct Capital Fund	200,000
Petaluma Aqueduct Capital Fund	200,000
	400,000

**4209 ENT - LTD Proceeds - Clearing**

This is the clearing account for sub-object 4200, ENT - LTD Proceeds

Santa Rosa Aqueduct Capital Fund	(200,000)
Petaluma Aqueduct Capital Fund	(200,000)
	(400,000)

**Character Title:** Other Financing Sources

**Character No.:** 675421-46

**4625 OT - W/in Special Dist - BOS**

This item records transfers of funds into the Common Facilities fund to provide for planned capital projects and to restore fund balance.

Common Fac Bond 2012A	470,000
Common Fac Bond 2006A	310,000
North Marin Water	0
Water Transmission Fund	4,180,000
Generator Financing	325,708
Total	<b>5,285,708</b>

**FY 2013-14 BUDGET  
CHARACTER JUSTIFICATION**

Department - Division: Sonoma County Water Agency - Water Transmission

Section Title: Common Facilities

Character: Fixed Assets Character No.: 675421-85

DESCRIPTION	SAP Order No.	Requested FY 13-14
<b>8529 Isolation Valves and Meters</b>		
Isolation Valves	7379, 7497	320,000
	<b>8529 Total</b>	<b>320,000</b>
 <b>9105 Mirabel Fish Screen Replacement</b>		
Mirabel Fish Screen - Fish Ladder Project	7357, 7532	2,950,000
	<b>9105 Total</b>	<b>2,950,000</b>
 <b>9107 Air Valves</b>		
Air Valves	7429	290,000
	<b>9107 Total</b>	<b>290,000</b>
 <b>9128 Local Hazard Mitigation Projects</b>		
RR Crossing	7523	320,000
MW Creek Crossing	7546	280,000
Collector 6 Liquefaction Mitigation	7623	200,000
	<b>9128 Total</b>	<b>800,000</b>
 <b>8510 Other Misc</b>		
Billing Meter Replacement & measure chambers for OMNI m	7560	700,000
Mirabel Surge Control	TBD	200,000
Water Agency Westside Facility - see offsetting revenue above	7578	350,000
	<b>Misc Total</b>	<b>1,250,000</b>

Character: Other Financing Uses Character No.: 675421-86

DESCRIPTION	SAP Order No.	Requested FY 13-14
<b>8625 Operating Transfer</b>		
Transfer to Pipeline for South Transmission System Pipeline	3554	0
	<b>8625 Total</b>	<b>0</b>

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission  
**Section:** Common Facilities  
**Index No.:** 675421

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	(\$946,262)	(\$875,006)	\$0
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	2,415,053	4,665,006	5,635,903
Expenditures - (Decrease) fund balance	(1,794,511)	(3,790,000)	(5,860,656)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	620,543	875,006	(224,753)
<b>Adjustments to Reserves/Encumbrances:</b>			
Advances from Aqueduct funds	0	0	400,000
Outstanding Encumbrances - Net Change	(248,015)	0	
Capitalized Interest	(534,071)	0	
Proceeds from State Loan & Other LT Debt	0	0	0
Principal payment on Advances	0	0	(117,763)
PY CIP Adjustment - Reclass to PY Exp	232,799		
Change in Contingent Liability	0	0	
Move Project CIP Balance	0		
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	(549,287)	0	282,237
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	(875,007)	0	57,484
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	\$71,256	\$875,006	\$57,484
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	(\$79,948)	\$108,844	
Accounts Receivable	\$0	\$0	
Prepaid Expense	\$0	\$0	
Accounts Payable	(57,655)	(41,644)	
Contract Retention Payable	0	0	
Contingent Liability	0	0	
Due from Other Gov'ts	1,200	115,668	
Encumbrances	(809,859)	(1,057,874)	
<b>Total Beginning Fund Balance</b>	(\$946,262)	(\$875,006)	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** NORTH MARIN WATER DEPOSIT  
**Section/Index No:** 675512

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$85	\$109	\$24	28.47%
<b>Subtotal Use of Money</b>	<b>\$85</b>	<b>\$109</b>	<b>\$24</b>	<b>28.47%</b>
<b><u>INTERGOVERNMENTAL REVENUES</u></b>				
2900 Other Government Agencies	\$0	\$0	\$0	N/A
<b>Subtotal Intergovernmental Revenues</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$0	\$0	\$0	N/A
<b>Subtotal Other Financing Sources</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL REVENUES</b>	<b>\$85</b>	<b>\$109</b>	<b>\$24</b>	<b>28.47%</b>
<b>EXPENDITURES:</b>				
<b><u>OTHER CHARGES</u></b>				
8010 Contribution Non-Co Govt	\$0	\$0	\$0	N/A
<b>Subtotal Other Charges</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>OTHER FINANCING USES</u></b>				
8625 OT - W/in Special Dist - BOS	\$0	\$0	\$0	N/A
<b>Subtotal Other Financing Uses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$85)</b>	<b>(\$109)</b>	<b>(\$24)</b>	<b>28.47%</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** North Marin Water Deposit

**Character Title:** Use of Money and Property **Character No.:** 675512-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	16,800
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$109

**Character Title:** Other Financing Sources **Character No.:** 675512-46

**4625 OT - W/in Special Dist - BOS**

Transfer in from Water Transmission Agency Fund (675108) - for capital improvements - per the recommendation of the Water Advisory Committee, pursuant to the terms of the Master Water Supply Agreement. The Restructured Water Supply Agreement provides that if money received from the Operations and Maintenance Charge (O&M) is appropriated for expenditures for storage facilities then, at the time of transfer, an amount shall also be transferred to North Marin's account in proportion to the O&M Charge paid by North Marin to the total O&M Charge received by the Agency during the prior fiscal year.

Scheduled transfer 0

**Character:** Other Charges **Character No.:** 675512-75

**8010 Contribution Non-Co Government**

This item records payment, to North Marin Water District, of funds deposited to the North Marin Water Deposit fund as authorized by the Restructured Water Supply Agreement. The North Marin Water District has requested to handle its own cash management and investments.

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

**Department:** Sonoma County Water Agency - Water Transmission  
**Section:** North Marin Water Deposit  
**Index No.:** 675512

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$12,539</b>	<b>\$12,689</b>	<b>\$12,783</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	150	94	109
Expenditures - (Decrease) fund balance	0	0	0
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	150	94	109
<b>Adjustments to Reserves/Encumbrances:</b>			
No adjustments required at this time.	0	0	
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	0	0	0
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	12,689	12,783	12,892
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$150</b>	<b>\$94</b>	<b>\$109</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$16,770	\$16,920	
Deposit from others	(4,231)	(4,231)	
<b>Total Beginning Fund Balance</b>	\$12,539	\$12,689	

**FY 2013-14 BUDGET  
SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** Water Management Planning  
**Section/Index No:** 675710

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b>USE OF MONEY</b>				
1700 Interest on Pooled Cash	\$2,870	\$2,275	(\$595)	(20.73%)
<b>Subtotal Use of Money</b>	<b>\$2,870</b>	<b>\$2,275</b>	<b>(\$595)</b>	<b>(20.73%)</b>
<b>INTERGOVERNMENTAL REVENUES</b>				
2912 Town of Windsor	\$3,481	\$2,962	(\$519)	(14.90%)
<b>Subtotal Intergovernmental Revenues</b>	<b>\$3,481</b>	<b>\$2,962</b>	<b>(\$519)</b>	<b>(14.90%)</b>
<b>OTHER FINANCING SOURCES</b>				
4625 OT - W/in Special Dist - BOS	\$50,000	\$45,000	(\$5,000)	(10.00%)
<b>Subtotal Other Financing Sources</b>	<b>\$50,000</b>	<b>\$45,000</b>	<b>(\$5,000)</b>	<b>(10.00%)</b>
<b>TOTAL REVENUES</b>	<b>\$56,351</b>	<b>\$50,237</b>	<b>(\$6,114)</b>	<b>(10.85%)</b>
<b>EXPENDITURES:</b>				
<b>SERVICES AND SUPPLIES</b>				
6523 District Operations	\$25,000	\$25,000	\$0	0.00%
6570 Consultant Services	\$25,000	\$20,000	(\$5,000)	(20.00%)
6610 Legal Services	\$0	\$0	\$0	N/A
<b>Subtotal Other Charges</b>	<b>\$50,000</b>	<b>\$45,000</b>	<b>(\$5,000)</b>	<b>(10.00%)</b>
<b>TOTAL EXPENDITURES</b>	<b>\$50,000</b>	<b>\$45,000</b>	<b>(\$5,000)</b>	<b>(10.00%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$6,351)</b>	<b>(\$5,237)</b>	<b>\$1,114</b>	<b>(17.53%)</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Water Management Planning

**Character Title:** Use of Money and Property

**Character No.:** 675710-17

<b>1700 Interest on Pooled Cash</b>			
This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.			
Estimated Average Cash Balance		350,000	
Projected Interest Rate		0.65%	
Projected/Planned Interest on Pooled Cash		\$2,275	

**Character Title:** Other Government Revenue

**Character No.:** 675710-20

<b>2912 Town of Windsor</b>			
This item records revenue earned from the Town of Windsor for water diverted from the Russian River using the Town's equipment. Restructured Agreement 4.17b			

**Character Title:** Other Financing Sources

**Character No.:** 675710-46

<b>4625 OT - W/in Special Dist - BOS</b>			
This item records transfers of funds from the O&M fund to provide for planned expenditures			
		\$	45,000

**Character Title:** Services and Supplies

**Character No.:** 675710-60

<b>6523 District Operations</b>			
This item is requested to provide for the charging of salaries, benefits and overhead costs for staff assigned to projects budgeted within the Water Management Planning Fund.			
Water Demand Monitoring & Trend Analysis	SAP Order No.	3700	25,000
			<b>25,000</b>
 <b>6570 Consultant Services</b>			
This item covers the costs of services provided by outside consultants.			
Financial Modeling	SAP Order No.	3700	20,000
			<b>20,000</b>

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
 Section: Water Management Planning  
 Index No.: 675710

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$72,631</b>	<b>\$294,019</b>	<b>\$306,483</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	227,242	62,464	50,237
Expenditures - (Decrease) fund balance	(130,181)	(50,000)	(45,000)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	97,061	12,464	5,237
<b>Adjustments to Reserves/Encumbrances:</b>			
Outstanding Encumbrances	124,328	0	
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	124,328	0	0
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>294,020</b>	<b>306,483</b>	<b>311,720</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> (Difference between Beginning and Ending Balance)	<b>\$221,389</b>	<b>\$12,464</b>	<b>\$5,237</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b><u>7/1/2011</u></b>	<b><u>7/1/2012</u></b>	
Cash	\$310,490	\$399,470	
Accounts Receivable	\$2,338	\$4,218	
Accounts Payable	(\$27,639)	(\$21,439)	
Encumbrances	(212,558)	(88,230)	
<b>Total Beginning Fund Balance</b>	<b>\$72,631</b>	<b>\$294,019</b>	

**FY 2013-14 BUDGET  
SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** Watershed Planning and Restoration  
**Section/Index No:** 675728

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$1	\$16,250	\$16,249	1624900.00%
<b>Subtotal Use of Money</b>	<b>\$1</b>	<b>\$16,250</b>	<b>\$16,249</b>	<b>1624900.00%</b>
<b><u>INTERGOVERNMENTAL REVENUES</u></b>				
2912 Town of Windsor	\$259,394	\$259,837	\$443	0.17%
<b>Subtotal Intergovernmental Revenues</b>	<b>\$259,394</b>	<b>\$259,837</b>	<b>\$443</b>	<b>0.17%</b>
<b><u>MISCELLANEOUS REVENUE</u></b>				
4102 Reimbursements	\$0	\$0	\$0	N/A
<b>Subtotal Miscellaneous Revenue</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$3,725,600	\$3,947,000	\$221,400	5.94%
<b>Subtotal Other Financing Sources</b>	<b>\$3,725,600</b>	<b>\$3,947,000</b>	<b>\$221,400</b>	<b>5.94%</b>
<b>TOTAL REVENUES</b>	<b>\$3,984,995</b>	<b>\$4,223,087</b>	<b>\$238,092</b>	<b>5.97%</b>
<b>EXPENDITURES:</b>				
<b><u>SERVICES AND SUPPLIES</u></b>				
6523 District Operations	\$2,705,600	\$2,507,000	(\$198,600)	(7.34%)
6570 Consultant Services	\$990,000	\$1,060,000	\$70,000	7.07%
6610 Legal Services	\$30,000	\$30,000	\$0	0.00%
<b>Subtotal Other Charges</b>	<b>\$3,725,600</b>	<b>\$3,597,000</b>	<b>(\$128,600)</b>	<b>(3.45%)</b>
<b>TOTAL EXPENDITURES</b>	<b>\$3,725,600</b>	<b>\$3,597,000</b>	<b>(\$128,600)</b>	<b>(3.45%)</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$259,395)</b>	<b>(\$626,087)</b>	<b>(\$366,692)</b>	<b>141.36%</b>

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Watershed Planning/Restoration

**Character Title:** Use of Money and Property **Character No.:** 675728-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	2,500,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$16,250

**Character Title:** Intergovernmental Revenues **Character No.:** 675728-20

**2912 Town of Windsor**

This item records revenue earned from the Town of Windsor for water diverted from the Russian River using the Town's equipment. Restructured Agreement 4.17b

**Character Title:** Miscellaneous Revenues **Character No.:** 675728-40

**4102 Donations and Reimbursements**

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**Character Title:** Other Financing Sources **Character No.:** 675728-46

**4625 OT - W/in Special Dist - BOS**

This item records transfers of funds from the O&M fund to provide for planned expenditures \$3,947,000

**Character Title:** Services and Supplies **Character No.:** 675728-60

**6523 District Operations**

This item is requested to provide for the charging of salaries, benefits and overhead costs for staff assigned to projects budgeted within the Watershed Planning and Restoration Fund.

Russian River Instream Flow and Restoration Program (Biological Opinion Response)

DESCRIPTION	SAP Order No.	Requested FY 13-14
<b><u>Project Oversight</u></b>		
Project Management	7311A1	82,000
RRIFR Project Reporting	7312A1	168,000
<b><u>RRIFR Decision 1610 Change Petition</u></b>		
<b>RRIFR Russian River Flow Model</b>		
RRIFR Russian River Final Flow Modeling	7325A5	48,000
RRIFR Hydroelectric Analysis	7328A1	6,000
RRIFR Water Quality Modeling	7326A1	36,000
RRIFR Climate Change Modeling (USGS)	7329A1	12,000
RRIFR Hydrologic Index	7327A1	25,000

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Watershed Planning/Restoration

**Character Title:** Services and Supplies

**Character No.:** 675728-60

**6523 District Operations(continued)**

**RRIFR Temporary Urgency Change Petitions**

RRIFR Annual Temporary Urgency Change Petitions	7323A1	180,000
RRIFR Interim Change CEQA Process	7323A4	1,000
RRIFR Water Quality Monitoring	7323A6, 7323A8	70,000

**RRIFR Permanent D1610 Change Petition**

RRIFR Program Management	7324A2	6,000
RRIFR Draft EIR	7324A7	132,000
RRIFR Final EIR	7324B1	461,000
Russian River Rearing Habitat Modeling		85,000

**RRIFR Public Outreach**

RRIFR Public Outreach Programs-Remaining Project Area	7315A3	32,000
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**RRIFR Estuary Management & Monitoring**

**RRIFR Project Management**

RRIFR Project Management	7339A1	75,000
RRIFR CEQA Compliance/Litigation	7339D1	35,000

**RRIFR Biological and Water Quality Monitoring**

RRIFR Fisheries Monitoring	7339A4,A5,A6,A7,D2	340,000
RRIFR Water Quality Monitoring	7339A8	100,000
RRIFR Invertebrate Monitoring	7339A9	35,000
RRIFR Wildlife & Botanical Monitoring	7339B1	60,000

**RRIFR Flood Risk Mitigation**

RRIFR Feasibility Study	7341A1	80,000
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**RRIFR Beach and Water Level Management**

RRIFR Lagoon Outlet Channel Adaptive Management Plan	7339A2,7339B7	15,000
RRIFR Lagoon Outlet Channel Implementation and Monitorii	7339B9	265,000
RRIFR Monthly Sandbar Topographic Survey	7339C1	45,000
RRIFR Rivermouth Camera	7339C3	8,000

**RRIFR Jetty Modifications**

RRIFR Feasibility Study	7340A1	10,000
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***Miscellaneous***

Russian River ResSim Model	TBD	50,000
Development of Russian River HEC-RAS Water Quality Moc	TBD	
National Integrated Drought Information System (NIDIS)	TBD	20,000
USGS Programmatic Climate Change Studies	TBD	25,000

**\$ 2,507,000**

**FY 2013-14 BUDGET**  
**CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Watershed Planning/Restoration

**Character Title:** Services and Supplies

**Character No.:** 675728-60

**6570 Consultant Services**

This item covers the costs of services provided by outside consultants.

Russian River Instream Flow and Restoration Program (Biological Opinion Response)

DESCRIPTION	SAP Order No.	Requested FY 13-14
<b><u>RRIFR Decision 1610 Change Petition</u></b>		
<b>RRIFR Russian River Flow Model</b>		
RRIFR Russian River Final Flow Modeling	7325A7	\$ 30,000
RRIFR Climate Change Modeling (USGS)	7329A3	12,000
RRIFR Hydrologic Index	7327A3	10,000
<b>RRIFR Temporary Urgency Change Petitions</b>		
RRIFR Water Quality Monitoring	7323A7	140,000
<b>RRIFR Permanent D1610 Change Petition</b>		
RRIFR Draft EIR	7324A9	48,000
RRIFR Final EIR	7324B3	120,000
Russian River Rearing Habitat Modeling		
<b><u>RRIFR Estuary Management &amp; Monitoring</u></b>		
<b>RRIFR Project Management</b>		
RRIFR CEQA Compliance/Litigation	7339D1	35,000
<b>RRIFR Biological and Water Quality Monitoring</b>		
RRIFR Water Quality Monitoring	7339A8	120,000
RRIFR Invertebrate Monitoring	7339A9	90,000
RRIFR Wildlife & Botanical Monitoring	7339B2	10,000
<b>RRIFR Flood Risk Mitigation</b>		
RRIFR Feasibility Study	7341A2	20,000
<b>RRIFR Beach and Water Level Management</b>		
RRIFR Lagoon Outlet Channel Adaptive Management Plan	7339A3, 7339B8	90,000
RRIFR Lagoon Outlet Channel Implementation and Monitoring	7339C0	130,000
<b>RRIFR Jetty Modifications</b>		
RRIFR Feasibility Study	7340A2	25,000
<b><i>Miscellaneous</i></b>		
Russian River ResSim Model	TBD	
Development of Russian River HEC-RAS Water Quality Model	TBD	
National Integrated Drought Information System (NIDIS)	TBD	80,000
USGS Programmatic Climate Change Studies	TBD	100,000
		<b><u>\$ 1,060,000</u></b>

**6610 Legal Services**

This item is requested to provide funds for the estimated cost of legal services to be provided by County Counsel or outside legal services, as required.

TBD



**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
Section: Watershed Planning and Restoration  
Index No.: 675728

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>(\$1,303,176)</b>	<b>\$548,116</b>	<b>\$2,047,411</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	4,308,483	4,511,303	4,223,087
Expenditures - (Decrease) fund balance	(2,493,204)	(3,012,008)	(3,947,000)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	1,815,280	1,499,295	276,087
<b>Adjustments to Reserves/Encumbrances:</b>			
Outstanding Encumbrances	80,129	0	
Audit adjustment	(37,891)		
Capital Interest	(6,226)		
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	36,012	0	0
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>548,116</b>	<b>2,047,411</b>	<b>2,323,498</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$1,851,292</b>	<b>\$1,499,295</b>	<b>\$276,087</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b><u>7/1/2011</u></b>	<b><u>7/1/2012</u></b>	
Cash	(\$508,917)	\$1,261,955	
Accounts Receivable	\$20,409	\$55,060	
Accounts Payable	(\$251,963)	(\$286,323)	
Encumbrances	(\$562,705)	(\$482,576)	
Deposit from others	0	0	
<b>Total Beginning Fund Balance</b>	(\$1,303,176)	\$548,116	

**FY 2013-14 BUDGET**  
**SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** Recycled Water and Local Supply  
**Section/Index No:** 675736

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b>USE OF MONEY</b>				
1700 Interest on Pooled Cash	\$2,500	\$6,500	\$4,000	160.00%
<b>Subtotal Use of Money</b>	<b>\$2,500</b>	<b>\$6,500</b>	<b>\$4,000</b>	<b>160.00%</b>
<b>INTERGOVERNMENTAL REVENUES</b>				
2912 Town of Windsor	\$51,174	\$77,529	\$26,355	51.50%
<b>Subtotal Intergovernmental Revenues</b>	<b>\$51,174</b>	<b>\$77,529</b>	<b>\$26,355</b>	<b>51.50%</b>
<b>MISCELLANEOUS REVENUE</b>				
4102 Reimbursements	\$140,000	\$135,000	(\$5,000)	(3.57%)
4109 Outdate/Cancel Warrants	\$0	\$0	\$0	N/A
4303 State Grants	\$110,000	\$0	(\$110,000)	(100.00%)
<b>Subtotal Miscellaneous Revenue</b>	<b>\$250,000</b>	<b>\$135,000</b>	<b>(\$115,000)</b>	<b>(46.00%)</b>
<b>OTHER FINANCING SOURCES</b>				
4625 OT - W/in Special Dist - BOS	\$735,000	\$1,177,685	\$442,685	60.23%
<b>Subtotal Other Financing Sources</b>	<b>\$735,000</b>	<b>\$1,177,685</b>	<b>\$442,685</b>	<b>60.23%</b>
<b>TOTAL REVENUES</b>	<b>\$1,038,674</b>	<b>\$1,396,714</b>	<b>\$358,040</b>	<b>34.47%</b>
<b>EXPENDITURES:</b>				
<b>SERVICES AND SUPPLIES</b>				
6522 District Administration	\$0	\$0	\$0	N/A
6523 District Operations	\$275,000	\$296,000	\$21,000	7.64%
6570 Consulting Services	\$385,000	\$530,000	\$145,000	37.66%
6610 Legal Services	\$0	\$4,731	\$4,731	N/A
6630 Accounting/Audit Services	\$0	\$0	\$0	N/A
7277 Recycled Water Use	\$0	\$0	\$0	N/A
<b>Subtotal Services &amp; Supplies</b>	<b>\$660,000</b>	<b>\$830,731</b>	<b>\$170,731</b>	<b>25.87%</b>
<b>OTHER CHARGES</b>				
8010 Contribution Non-Co Govt	\$325,000	\$481,954	\$156,954	48.29%
<b>Subtotal Other Charges</b>	<b>\$325,000</b>	<b>\$481,954</b>	<b>\$156,954</b>	<b>48.29%</b>
<b>FIXED ASSETS</b>				
9482 Amort WIP Outlay	\$0	\$0	\$0	N/A
<b>Subtotal Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$985,000</b>	<b>\$1,312,685</b>	<b>\$327,685</b>	<b>33.27%</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$53,674)</b>	<b>(\$84,029)</b>	<b>(\$30,355)</b>	<b>56.55%</b>

**FY 2013-14 BUDGET  
CHARACTER JUSTIFICATION**

Department - Division: Sonoma County Water Agency - Water Transmission

Section Title: Recycled Water and Local Supply

Character Title: Use of Money and Property Character No.: 675736-17

**1700 Interest on Pooled Cash**  
This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	1,000,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	<u>\$6,500</u>

Character Title: Intergovernmental Revenues Character No.: 675736-20

**2912 Town of Windsor**  
This item records revenue earned from the Town of Windsor for water diverted from the Russian River using the Town's equipment. Restructured Agreement 4.17b

Character Title: Miscellaneous Revenues Character No.: 675736-40

**4102 Donations and Reimbursements**

VOMWD, City of Sonoma, County, SCAPOSD, & SVCSD for Groundwater Management Plain Sonoma Valley	3751	75,000
Santa Rosa, Windsor, Rohnert Park, Cotati, Sebastopol Cal American, County of Sonoma for Santa Rosa Plain Study	7063	60,000
		<u>\$ 135,000</u>

**4303 State Grants**

		<u>\$ -</u>
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Character Title: Other Financing Sources Character No.: 675736-46

**4625 OT - W/in Special Dist - BOS**  
This item records transfers of funds from the O&M fund to provide for planned expenditures. \$1,177,685

Character Title: Services and Supplies Character No.: 675736-60

**6523 District Operation**  
This item is requested to provide for the charging of salaries, benefits and overhead costs for staff assigned to projects budgeted within the Recycled Water and Local Supply Fund.

DESCRIPTION	SAP Order No.	Requested FY 13-14
Santa Rosa Plain Groundwater Planning Process	7063	85,000
Sonoma Valley Groundwater Management	3751	66,000
USGS Petaluma Ground Water Study	TBD	50,000
Santa Rosa Plain Groundwater Modeling Scenarios	TBD	33,000
USGS Training/Support for Santa Rosa Plain GMP	TBD	20,000
Sonoma Creek Seepage Study	3751	17,000
Managing 10-Year LRT2 Program	3944	15,000
Sonoma Valley - Ground Water Mdl Upgrade	3571	10,000
	<b>6523 Total</b>	<u><b>\$ 296,000</b></u>

**6570 Consultant Services**  
This item covers the costs of services provided by outside consultants.

DESCRIPTION	SAP Order No.	Requested FY 13-14
Santa Rosa Plain Groundwater Planning Process	7063	200,000
Sonoma Valley Groundwater Management	3751	80,000
Santa Rosa Plain Groundwater Modeling Scenarios	TBD	50,000
USGS Training/Support for Santa Rosa Plain GMP	TBD	50,000
Sonoma Creek Seepage Study	3751	25,000
USGS Petaluma Ground Water Study	TBD	125,000
	<b>6570 Total</b>	<u><b>\$ 530,000</b></u>

**8010 Contribution to Non-County Government**

Rohnert Park LRT2		324,629
Cotati LRT2		157,325
	<b>8010 Total</b>	<u><b>\$ 481,954</b></u>

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
Section: Recycled Water and Local Supply  
Index No.: 675736

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$379,108</b>	<b>\$969,504</b>	<b>\$987,953</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	1,429,964	1,040,189	1,396,714
Expenditures - (Decrease) fund balance	(700,154)	(970,565)	(1,312,685)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	729,809	69,624	84,029
<b>Adjustments to Reserves/Encumbrances:</b>			
Outstanding Encumbrances	(142,154)	0	
Capital Interest	(28,024)		
Change in Windsor Reserve	30,764	(51,174)	(77,529)
Audit adjustment	0		
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	(139,414)	(51,174)	(77,529)
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>969,503</b>	<b>987,953</b>	<b>994,453</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>\$590,395</b>	<b>\$18,449</b>	<b>\$6,500</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$987,539	\$1,623,306	
Accounts Receivable	\$35,370	\$53,207	
Accounts Payable	(\$169,588)	(\$127,416)	
Encumbrances	(320,951)	(463,105)	
Windsor Reserve	(147,252)	(116,488)	
Retention Payable	(6,010)	0	
<b>Total Beginning Fund Balance</b>	<b>\$379,108</b>	<b>\$969,504</b>	

**FY 2013-14 BUDGET  
SUMMARY OF REVENUES AND EXPENDITURES**

**Section Title:** Water Conservation  
**Section/Index No:** 675744

Sub-Object No. and Title	Adopted 2012-13	Requested 2013-14	Difference	Percent Change
<b>REVENUES:</b>				
<b><u>USE OF MONEY</u></b>				
1700 Interest on Pooled Cash	\$2,500	\$1,365	(\$1,135)	(45.40%)
<b>Subtotal Use of Money</b>	<b>\$2,500</b>	<b>\$1,365</b>	<b>(\$1,135)</b>	<b>(45.40%)</b>
<b><u>INTERGOVERNMENTAL REVENUES</u></b>				
2912 Town of Windsor	\$105,134	\$107,306	\$2,172	2.07%
<b>Subtotal Intergovernmental Revenues</b>	<b>\$105,134</b>	<b>\$107,306</b>	<b>\$2,172</b>	<b>2.07%</b>
<b><u>MISCELLANEOUS REVENUE</u></b>				
4303 State Grants	\$0	\$510,000	\$510,000	N/A
<b>Subtotal Miscellaneous Revenue</b>	<b>\$0</b>	<b>\$640,000</b>	<b>\$640,000</b>	<b>N/A</b>
<b><u>OTHER FINANCING SOURCES</u></b>				
4625 OT - W/in Special Dist - BOS	\$1,510,000	\$1,730,000	\$220,000	14.57%
<b>Subtotal Other Financing Sources</b>	<b>\$1,510,000</b>	<b>\$1,730,000</b>	<b>\$220,000</b>	<b>14.57%</b>
<b>TOTAL REVENUES</b>	<b>\$1,617,634</b>	<b>\$2,478,671</b>	<b>\$861,037</b>	<b>53.23%</b>
<b>EXPENDITURES:</b>				
<b><u>SERVICES AND SUPPLIES</u></b>				
6523 District Operations	\$895,000	\$1,070,000	\$175,000	19.55%
6536 Business Environmental Alliance	\$50,000	\$0	(\$50,000)	(100.00%)
6570 Consulting Services	\$0	\$0	\$0	N/A
6584 Trade Shows	\$20,000	\$20,000	\$0	0.00%
6630 Audit/Accounting Services	\$0	\$0	\$0	N/A
6800 Public/Legal Notices	\$0	\$0	\$0	N/A
7022 Public Relations	\$165,000	\$165,000	\$0	0.00%
7247 Water Conservation	\$380,000	\$430,000	\$50,000	13.16%
<b>Subtotal Services &amp; Supplies</b>	<b>\$1,510,000</b>	<b>\$1,685,000</b>	<b>\$175,000</b>	<b>11.59%</b>
<b><u>OTHER CHARGES</u></b>				
8010 Contribution Non-Co Govt	\$0	\$510,000	\$510,000	N/A
<b>Subtotal Other Charges</b>	<b>\$0</b>	<b>\$510,000</b>	<b>\$510,000</b>	<b>N/A</b>
<b>TOTAL EXPENDITURES</b>	<b>\$1,510,000</b>	<b>\$2,195,000</b>	<b>\$685,000</b>	<b>45.36%</b>
<b>TOTAL NET COST</b> <i>(Expenditures Minus Revenues)</i>	<b>(\$107,634)</b>	<b>(\$283,671)</b>	<b>(\$176,037)</b>	<b>163.55%</b>

## FY 2013-14 BUDGET CHARACTER JUSTIFICATION

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Water Conservation

**Character Title:** Use of Money and Property **Character No.:** 675744-17

**1700 Interest on Pooled Cash**

This account records interest on pooled cash held for the Agency by the County Treasurer's office. Estimated interest is projected based on cash on hand and current interest rate trends.

Estimated Average Cash Balance	210,000
Projected Interest Rate	0.65%
Projected/Planned Interest on Pooled Cash	\$1,365

**Character Title:** Intergovernmental Revenues **Character No.:** 675744-20

**2912 Town of Windsor**

This item records revenue earned from the Town of Windsor for water diverted from the Russian River using the Town's equipment. Restructured Agreement 4.17b

**Character Title:** Miscellaneous Revenues **Character No.:** 675744-40

**4102 Donations and Reimbursements**

Contractor contributions 130,000

**4303 State Grants**

This is for an anticipated Grant from CA Dept of Water Resources 510,000

640,000

**Character Title:** Other Financing Sources **Character No.:** 675744-46

**4625 OT - W/in Special Dist - BOS**

This item records transfers of funds from the O&M fund to provide for planned expenditures. \$1,730,000

**Character Title:** Services and Supplies **Character No.:** 675744-60

**6280 Memberships**

This account records expenses for memberships to professional organizations.

**6400 Office Expense**

This account records expenses for routine office expenses.

**6430 Printing Services**

This account records expenses for routine printing services

**6461 Supplies / Expenses**

This account records expenses for routine office supplies and expenses.

**6523 District Operation**

This item is requested to provide for the charging of salaries, benefits and overhead costs for staff assigned to projects budgeted within the Water Conservation Fund.

DESCRIPTION	SAP Order No.	Requested FY 13-14
Water Conservation, Water Education and Public Affairs Staff	2319, 7188	990,000
Water education Seasonal Staffing	2319, 7188	80,000
		<u>\$ 1,070,000</u>

**FY 2013-14 BUDGET  
CHARACTER JUSTIFICATION**

**Department - Division:** Sonoma County Water Agency - Water Transmission

**Section Title:** Water Conservation

**Character Title:** Services and Supplies

**Character No.:** 675744-60

<b>6540 Contract Services</b>	SAP Order No.	7190	
West County Transportation			25,000
Agreement with the Economic Development Board			50,000
			<b>\$ 75,000</b>
<b>6584 Trade Shows</b>	SAP Order No.	7189	
Sonoma County Fair			20,000
			<b>\$ 20,000</b>
<b>7022 Public Relations</b>	SAP Order No.	7189	
Partnership Outreach Campaign			165,000
			<b>\$ 165,000</b>
<b>7247 Water Conservation</b>	SAP Order No.	2319	
School Education Program			290,000
Adult Ed Program (QWEL ESL Curriculum Program)			35,000
Seminars, Workshops, and Training			30,000
Saving Water Partnership Wholesaler Support			60,000
Green Business Program			15,000
			<b>\$ 430,000</b>

**Character Title:** Other Charges

**Character No.:** 675744-75

<b>8010 Contribution Non-County Governments</b>			
This item is a pass through of DWR grant monies (BAIRWMP WUE) to other governments			<b>\$510,000</b>

**FY 2013-14 BUDGET**  
**STATEMENT OF SPECIAL FUND ACTIVITY**

Department: Sonoma County Water Agency - Water Transmission  
Section: Water Conservation  
Index No.: 675744

DESCRIPTION OF FUND ACTIVITY	Actual FY 11-12	Estimated FY 12-13	Requested FY 13-14
<b>Undesignated/Unreserved <u>BEGINNING</u> Fund Balance</b>			
<b>Available for Budgeting</b> (See Detailed Components Below)	<b>\$104,246</b>	<b>\$17,394</b>	<b>(\$96,190)</b>
<b>Annual Revenues and Expenditures:</b>			
Revenues - Increase fund balance	1,695,665	1,896,657	2,478,671
Expenditures - (Decrease) fund balance	(1,892,237)	(1,900,499)	(2,270,000)
<b>Net Surplus or Deficit</b> - Increase/(Decrease) to fund balance	(196,573)	(3,842)	208,671
<b>Adjustments to Reserves/Encumbrances:</b>			
Outstanding Encumbrances	98,281		
Long Term Debt proceeds			
Change in Windsor Reserve	11,440	(109,742)	(107,306)
Audit Adjustment	0		
<b>Net Adjustment</b> - Increase/(Decrease) to Fund Balance	109,721	(109,742)	(107,306)
<b>Undesignated/Unreserved <u>ENDING</u> Fund Balance</b>			
<b>Available for Budgeting</b>	<b>17,394</b>	<b>(96,190)</b>	<b>5,175</b>
<b>Total Increase/(Decrease) in Fund Balance for Fiscal Year</b> <i>(Difference between Beginning and Ending Balance)</i>	<b>(\$86,852)</b>	<b>(\$113,584)</b>	<b>\$101,365</b>
<b><u>Fund Balance Components at Beginning of FY</u></b>	<b>7/1/2011</b>	<b>7/1/2012</b>	
Cash	\$762,629	\$505,060	
Deposit from others	0		
Accounts Receivable	37,922	31,738	
Accounts Payable	(163,100)	(95,920)	
Encumbrances	(191,962)	(93,681)	
Windsor Reserve	(341,243)	(329,803)	
<b>Total Beginning Fund Balance</b>	<b>\$104,246</b>	<b>\$17,394</b>	